VIRTUS
MUTUAL FUNDS

Virtus Mutual Funds
PO Box 9874
Providence RI 02940-8074

Account Re-Registration/ Transfer of Ownership

For assistance, please contact us at 1-800-243-1574 or visit our website at Virtus.com

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

1. This form may not be used to transfer retirement accounts.
2. Non-Resident Aliens must provide a completed Form W-8 BEN.
3. If Social Security or tax identification number has been applied for, a copy of the application must be attached.
4. Cost Basis Reporting: Virtus Mutual Funds has elected Average Cost as our default method. We will apply our default method Average Cost to all of the new funds and accounts, unless a **Cost Basis Election Form**, which is available on our website www.Virtus.com, is submitted with this form. Due to the tax and financial consequences associated with this election, we recommend that you review the information available on the IRS website, www.irs.gov and/or consult a tax or financial advisor for guidance.

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may check the information you provide against publicly available databases, information obtained from consumer reporting agencies, other financial institutions or other services. If reasonable efforts to verify your identity have failed, we reserve the right to reject this form.

Bolded fields must be completed and will be verified. If bolded fields are not completed, this form will not be accepted.

1. "From" Account Information

Shareholder Name/Registration	Daytime Phone Number	Email Address
Address	U.S. Social Security Number	
City, State, ZIP Code	Fund and Account Number(s)	

2. "To" Account Information

Step 1 – Re-Register/Transfer Amount

Please re-register/transfer: ☐ All Shares or ☐ $_______________ or ☐ _______________ Shares

Step 2 – Re-Registration/Transfer Instructions

If you are transferring to an existing Virtus Mutual Funds account, list the fund and account number(s): ____

If you are transferring to a new account with Virtus Mutual Funds, provide the following new registrant information:

☐ ***Individual or Joint Tenant*** – If requesting a Joint Tenant account, Joint Tenant with Rights of Survivorship will be presumed unless otherwise specified. All individual(s) named in the registration must be 18 years or older.

☐ ***Uniform Gifts/Transfers to Minors*** – Only one adult custodian and only one minor may be listed in the account registration. This minor's Social Security number must be maintained on the account.

☐ ***Individual Trust*** – Please include a copy of the title page, signature page and those portions of the trust document which pertain to trustee's powers and successor trustees. As an alternative, a properly executed Certificate of Trust may also be submitted.

Name of Taxable Individual, Custodian, Trustee, Executor/Administrator	**Social Security Number**	**Date of Birth**
Name of Joint Tenant, Minor, Trustee, Executor/Administrator	**Social Security Number**	**Date of Birth**
Name of Trust or Estate	**U.S. Tax ID Number**	Trust Dated

If there is not sufficient space to list all individuals to be named in the account registration, please attach a brief note providing the name, Social Security number, date of birth and street address for each additional individual.

Note: If you would like to establish a registration not listed above, please contact our office at the number above for instructions.

3. "To" Account Mailing and Email Addresses

If mailing address is a post office box, a street address is also required. APO and FPO addresses will be accepted.

Email Address	Daytime Phone Number		
Street Address	**City**	**State**	**ZIP Code**
Mailing Address (if different from above)	City	State	ZIP Code
Joint Tenant, Trustee, Executor/Administrator Street Address (if different from street address above)	**City**	**State**	**ZIP Code**

4. Substitute W-9 Form - Request for Taxpayer Identification Number and Certification (TIN)

This section must be signed by all new account owner(s), trustee(s), custodian or executor(s)/administrator(s). My signature below indicates that I have read the Fund prospectus(es) and agree to the terms stated. In addition, by signing below, I agree to receive the Fund Privacy Notice within a reasonable time after I purchase Fund shares.
If I am a U.S. citizen, a U.S. resident alien, or a representative of a U.S. entity, I certify under penalties of perjury that:

1. The taxpayer identification number I have given on this form as mine is correct (or I am waiting for a number to be issued to me);
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and

   Important: Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest or dividends on your tax return.
3. I am a U.S. citizen or other U.S. person (as defined by the IRS in its Form W-9 instructions).

If I am a nonresident alien, I am required to complete the appropriate Form W-8 to certify my foreign status. I understand that I am not under penalties of perjury certifying the above information.

The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Print Name	Signature	Date
Print Name	Signature	Date

5. Options

Dividend and capital gain options will automatically be reinvested and the Telephone Redemption/Exchange Option will automatically be added for the new owner(s) and, if applicable, the Broker/Dealer, unless the new owner(s) notifies us otherwise.

No additional options will be maintained on the new account. If any options are to be established, the new account owner(s) will need to complete the appropriate form or contact us. Following is a list of options that may be established on the new account(s):

- Systematic Withdrawal Plan
- Expedited Telephone Redemption
- Systematic Purchase Plan
- Dividend/Capital Gain Option
- Systematic Exchange Plan
- eDelivery
- Checkwriting Option – (not available on all funds)

6. Dealer (Financial Advisor) Information

☐ Maintain existing information ☐ Do not maintain broker/dealer information ☐ Update broker/dealer information to:

Dealer Name | Dealer Number

Branch Address | Branch Number

Registered Representative Name | Phone Number | Representative Number

If no election is made above or you elect not to maintain your current broker/dealer information, VP Distributors, LLC, Virtus's default broker/dealer will be assigned to the new account.

7. Authorizing Signature(s)

All shareholders or authorized signers of the "From" account must sign this form. The form must be signed in capacity if the signer is not listed in the registration of the account (example: executor/personal representative/administrator of an estate, successor trustee, attorney-in-fact, etc.). The signatures and capacity must be Medallion Guaranteed.

I/We authorize VP Distributors, LLC to make changes to the account registration or transfer the shares to a new account, as outlined in Section 2 on the previous page. I/We acknowledge that by signing below, I/we may be releasing any current ownership privileges and am/are authorized to request such changes. I/We agree to hold Virtus Mutual Funds, its agents and assigns harmless from acting upon the instructions set forth on this form.

Print Name	Signature/Capacity	Date
Print Name	Signature/Capacity	Date

8. Medallion Guarantee

All signatures in Section 7 must be Medallion Guaranteed.

A Medallion Guarantee Stamp may be obtained from an eligible guarantor. Eligible guarantors include Commercial Banks, Trust Companies, Savings Associations and Credit Unions, as defined by the Federal Deposit Insurance Act and registered Broker/Dealers.

Notarization from a Notary Public is not acceptable.

Place Medallion Guarantee Stamp Here