

Form 5500 (Schedule C) Information for Virtus Mutual Funds

CAUTION FOR PLAN ADMINISTRATORS

THIS DISCLOSURE DOCUMENT IS NOT, AND SHALL NOT BE DEEMED TO CONSTITUTE, LEGAL ADVICE TO PENSION PLANS SUBJECT TO FORM 5500 SCHEDULE C REPORTING OBLIGATIONS REGARDING COMPLIANCE WITH ERISA REPORTING REQUIREMENTS AND IS ONLY INTENDED TO FURNISH INFORMATION TO SUCH PLANS TO ASSIST THEM IN COMPLYING WITH THE FORM 5500 SCHEDULE C REPORTING OBLIGATIONS.

THIS DISCLOSURE DOCUMENT IS NOT INTENDED TO CONSTITUTE AN OFFER TO SELL SECURITIES OR PROVIDE ANY DISCLOSURE REQUIRED BY SECURITIES LAWS. IT IS INTENDED SOLELY TO ASSIST PLANS IN COMPLYING WITH FORM 5500 SCHEDULE C REPORTING OBLIGATIONS.

Reporting entities' EINs: See below table

Fund Information	Location in Disclosure Documents Click here for the Mutual Fund Documents page of Virtus.com
Fund Name:	Front cover page of the Fund's annual report
Share Class:	Fund Summary section of the Fund's annual report
Ticker symbol:	Fund Summary section of the Fund's annual report
CUSIP:	See Reporting EIN and CUSIP Information below
Fiscal Year End:	Front cover page of Fund's annual report
Total Fund Assets as of Fiscal Year End:	Financial Highlights section of the Fund's annual report
Expense Ratio (net of waived fees):	Disclosure of Fund Expenses section of the Fund's annual report

Fees and Expenses	Location in Disclosure Documents Click here for the Mutual Fund Documents page of Virtus.com
Management fees paid by the Fund:	Fund Annual Report, in the following sections: Statements of Operations – Expenses – Investment Advisory Fees
Shareholder fees (paid directly from the shareholder's investment):	Fund Prospectus, in the following section: Fees and Expenses – Shareholder Fees
Annual Fund Operating Expenses (paid by a shareholder each year as a percentage of the investment):	Fund Prospectus, in the following section: Fees and Expenses – Annual Fund Operating Expenses
Administration and Transfer Agent Fees and Expenses:	Fund Annual Report, in the following sections: Statements of Operations – Expenses

Gifts and Entertainment
<p>Employees of the adviser and distributor of the Virtus Mutual Funds may occasionally give or receive gifts, meals or entertainment of moderate value, subject to internal policy and compliance with applicable laws and regulations. The distributor of Virtus Mutual Funds follows FINRA Rule 3220 (The Gifts Rule) as guidance.</p> <p>It has been determined that gifts and entertainment allocable to ERISA (Employee Retirement Income Security Act of 1974) plans are of insubstantial value and below thresholds for Schedule C reporting of non-monetary compensation.</p>

Soft Dollars Research Received by Investment Advisers/Subadvisers

An investment adviser or subadviser may become eligible for soft dollar credits by sending trades and paying trade commissions to broker-dealers who both execute the trades and provide the investment adviser or subadviser with research services in the following forms: (1) research reports generated by the broker-dealer, (2) conferences with representatives of issuers, and/or (3) soft dollar credits that can be used to obtain research reports or services from others. The portion of any trade commission attributable to the soft dollar research services may not be identifiable. Unless information specifying soft dollar-related indirect compensation is included with these materials, the adviser/subadviser(s) managing your Fund(s) has(have) not reported any such compensation with respect to your Fund(s).

Virtus Mutual Funds**2022 Soft Dollar and Research Compensation**

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
THE MERGER FUND®	HILLTOP SECURITIES INC	\$897,282.31
	INTERSTATE GROUP DIV. HILLTOP SECURITIES	\$115,960.53
VIRTUS CEREDX LARGE-CAP VALUE EQUITY FUND	BAIRD ROBERT W & CO INC	\$17,724.47
	BARCLAYS CAPITAL INC	\$21,261.02
	BMO CAPITAL MARKETS	\$1,409.40
	BOFA SECURITIES INC	\$18,767.94
	BTIG LLC	\$426.69
	CANTOR FITZGERALD & CO	\$298.16
	CITIGROUP GLOBAL MARKETS INC	\$30,257.97
	CREDIT SUISSE SECURITIES LLC	\$2,357.82
	EVERCORE GROUP LLC	\$22,812.39
	FRIEDMAN BILLINGS AND RAMSEY	\$543.87
	GOLDMAN SACHS & CO	\$15,108.07
	ITG INC	\$12,110.80
	JEFFERIES & COMPANY INC	\$11,339.22
	JONES TRADING AND INVESTMENT SERVICES	\$852.49
	JP MORGAN SECURITIES LLC	\$17,180.03
	KEEFE BRUYETTE & WOODS INC	\$9,088.21
	KEYBANC CAPITAL MARKETS	\$13,439.98
	LIQUIDNET INC	\$12,449.28
	LUMINEX TRADING	\$24,329.58
	MORGAN STANLEY & COMPANY LLC	\$35,154.19
OPPENHEIMER & CO INC	\$1,488.90	
RAYMOND JAMES ASSOC INC	\$6,265.64	
RBC CAPITAL MARKETS LLC	\$8,129.74	
SANFORD C BERNSTEIN & CO INC	\$24,239.72	
SG COWEN SEC CORP	\$866.17	
STATE STREET BANK & TRUST	\$2,489.94	
STEPHENS INC	\$3,436.15	
STIFEL NICHOLAUS & CO INC	\$13,958.01	
SUSQUEHANNA FINANCIAL GROUP LLLP	\$247.67	
U S BANCORP PIPER JAFFRAY INC	\$11,686.86	
UBS SECURITIES LLC	\$41,472.34	
WELLS FARGO SECURITIES LLC	\$3,327.19	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS CEREDEx MID-CAP VALUE EQUITY FUND	BAIRD ROBERT W & CO INC	\$39,380.60	
	BARCLAYS CAPITAL INC	\$94,719.42	
	BMO CAPITAL MARKETS	\$11,274.12	
	BOFA SECURITIES INC	\$64,203.40	
	BTIG LLC	\$14,048.13	
	CANTOR FITZGERALD & CO	\$3,630.00	
	CITIGROUP GLOBAL MARKETS INC	\$27,820.28	
	CREDIT SUISSE SECURITIES LLC	\$9,836.34	
	EVERCORE GROUP LLC	\$59,950.39	
	FRIEDMAN BILLINGS AND RAMSEY	\$2,591.99	
	GOLDMAN SACHS & CO	\$27,758.79	
	ITG INC	\$38,011.30	
	JEFFERIES & COMPANY INC	\$36,642.66	
	JONES TRADING AND INVESTMENT SERVICES	\$334.16	
	JP MORGAN SECURITIES LLC	\$40,308.24	
	KEEFE BRUYETTE & WOODS INC	\$41,704.65	
	KEYBANC CAPITAL MARKETS	\$46,521.18	
	LIQUIDNET INC	\$64,561.78	
	LUMINEX TRADING	\$38,686.42	
	MORGAN STANLEY & COMPANY LLC	\$106,505.27	
	OPPENHEIMER & CO INC	\$4,891.15	
	RAYMOND JAMES ASSOC INC	\$9,838.21	
	RBC CAPITAL MARKETS LLC	\$45,444.73	
	SANFORD C BERNSTEIN & CO INC	\$75,516.86	
	SG COWEN SEC CORP	\$9,098.07	
	STATE STREET BANK & TRUST	\$12,937.08	
	STIFEL NICHOLAUS & CO INC	\$7,936.57	
	U S BANCORP PIPER JAFFRAY INC	\$38,544.35	
	UBS SECURITIES LLC	\$28,336.48	
	WELLS FARGO SECURITIES LLC	\$11,916.55	
	VIRTUS CEREDEx SMALL-CAP VALUE EQUITY FUND	BAIRD ROBERT W & CO INC	\$7,714.87
		BARCLAYS CAPITAL INC	\$10,297.10
		BOFA SECURITIES INC	\$6,337.04
		BTIG LLC	\$51.08
		EVERCORE GROUP LLC	\$3,865.64
		GOLDMAN SACHS & CO	\$1,269.32
		ITG INC	\$2,797.06
		JEFFERIES & COMPANY INC	\$51,491.18
		JP MORGAN SECURITIES LLC	\$98.11
KEYBANC CAPITAL MARKETS		\$91.08	
LIQUIDNET INC		\$6,088.58	
LUMINEX TRADING		\$4,264.86	
MORGAN STANLEY & COMPANY LLC		\$89.43	
OPPENHEIMER & CO INC		\$223.41	
RAYMOND JAMES ASSOC INC		\$2,656.82	
RBC CAPITAL MARKETS LLC		\$5,661.62	
SANFORD C BERNSTEIN & CO INC		\$16,699.40	
SG COWEN SEC CORP		\$4,241.57	
STATE STREET BANK & TRUST		\$5,299.56	
STIFEL NICHOLAUS & CO INC		\$38,421.23	
U S BANCORP PIPER JAFFRAY INC		\$166.35	
UBS SECURITIES LLC		\$10,717.46	
WELLS FARGO SECURITIES LLC		\$3,834.60	
VIRTUS CONVERTIBLE FUND		BOFA SECURITIES INC	\$55.95
		JEFFERIES & COMPANY INC	\$890.40
	UBS SECURITIES LLC	\$1,805.15	
VIRTUS DUFF & PHELPS GLOBAL INFRASTRUCTURE FUND	BARCLAYS CAPITAL INC	\$941.28	
	BOFA SECURITIES INC	\$160.99	
	CITIGROUP GLOBAL MARKETS INC	\$1,065.51	
	EVERCORE GROUP LLC	\$942.88	
	GOLDMAN SACHS & CO	\$221.74	
	GUGGENHEIM SECURITIES LLC	\$260.20	
	JP MORGAN SECURITIES LLC	\$332.18	
	MACQUARIE CAPITAL (USA), INC	\$2,176.21	
	MORGAN STANLEY & COMPANY LLC	\$1,013.32	
	RBC CAPITAL MARKETS LLC	\$3,776.36	
	SANTANDER INVESTMENT SECURITIES	\$2,923.67	
	SEAPORT GROUP	\$136.00	
	STRATEGAS SECURITIES LLC	\$2,893.96	
	TOYOTA FINANCIAL SERVICES	\$2,165.20	
	UBS SECURITIES LLC	\$3,497.99	
	WELLS FARGO SECURITIES LLC	\$2,362.46	
	WOLFE RESEARCH	\$1,403.93	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS DUFF & PHELPS GLOBAL REAL ESTATE SECURITIES FUND	BOFA SECURITIES INC	\$22,332.42
	CITIGROUP GLOBAL MARKETS INC	\$17,855.22
	EVERCORE GROUP LLC	\$10,253.45
	JP MORGAN SECURITIES LLC	\$6,611.48
	KEMPEN AND CO	\$28,928.30
	MACQUARIE CAPITAL (USA), INC	\$92.76
	MORGAN STANLEY & COMPANY LLC	\$10,191.22
	RBC CAPITAL MARKETS LLC	\$3,663.42
	SMBC NIKKO SECURITIES AMERICA INC	\$11,345.55
	UBS SECURITIES LLC	\$1,679.56
	WELLS FARGO SECURITIES LLC	\$11,522.73
	WOLFE RESEARCH	\$880.61
	VIRTUS DUFF & PHELPS INTERNATIONAL REAL ESTATE SECURITIES FUND	BOFA SECURITIES INC
CITIGROUP GLOBAL MARKETS INC		\$2,814.51
JP MORGAN SECURITIES LLC		\$76.77
KEMPEN AND CO		\$2,821.46
MACQUARIE CAPITAL (USA), INC		\$47.79
MORGAN STANLEY & COMPANY LLC		\$293.83
RBC CAPITAL MARKETS LLC		\$944.99
SMBC NIKKO SECURITIES AMERICA INC		\$161.76
VIRTUS DUFF & PHELPS REAL ASSET FUND	BARCLAYS CAPITAL INC	\$291.00
	CITIGROUP GLOBAL MARKETS INC	\$138.75
	EVERCORE GROUP LLC	\$816.00
	GOLDMAN SACHS & CO	\$60.00
	SCOTIA CAPITAL INC	\$142.50
	SEAPORT GROUP	\$264.00
	TOYOTA FINANCIAL SERVICES	\$224.00
	UBS SECURITIES LLC	\$84.00
	WELLS FARGO SECURITIES LLC	\$279.00
	WOLFE RESEARCH	\$139.50
VIRTUS DUFF & PHELPS REAL ESTATE SECURITIES FUND	BOFA SECURITIES INC	\$7,732.87
	CITIGROUP GLOBAL MARKETS INC	\$2,709.01
	EVERCORE GROUP LLC	\$17,841.94
	GOLDMAN SACHS & CO	\$21.35
	JP MORGAN SECURITIES LLC	\$9,538.42
	MORGAN STANLEY & COMPANY LLC	\$9,251.30
	RBC CAPITAL MARKETS LLC	\$2,433.20
	SMBC NIKKO SECURITIES AMERICA INC	\$9,823.40
	UBS SECURITIES LLC	\$10.50
	WELLS FARGO SECURITIES LLC	\$16,503.10
WOLFE RESEARCH	\$1,108.50	
VIRTUS DUFF & PHELPS SELECT MLP & ENERGY FUND	BARCLAYS CAPITAL INC	\$1,735.77
	BOFA SECURITIES INC	\$126.89
	CITIGROUP GLOBAL MARKETS INC	\$250.00
	CREDIT SUISSE SECURITIES LLC	\$120.00
	EVERCORE GROUP LLC	\$616.67
	GOLDMAN SACHS & CO	\$1,829.50
	GUGGENHEIM SECURITIES LLC	\$2,559.64
	JEFFERIES & COMPANY INC	\$1,185.90
	JP MORGAN SECURITIES LLC	\$1,847.91
	MIZUHO SECURITIES CO	\$2,036.00
	MORGAN STANLEY & COMPANY LLC	\$90.51
	RBC CAPITAL MARKETS LLC	\$536.62
	SANTANDER INVESTMENT SECURITIES	\$366.00
	STRATEGAS SECURITIES LLC	\$200.40
	TOYOTA FINANCIAL SERVICES	\$6,379.96
	UBS SECURITIES LLC	\$529.36
	WELLS FARGO SECURITIES LLC	\$1,585.62
	WOLFE RESEARCH	\$1,738.28
VIRTUS DUFF & PHELPS WATER FUND	BARCLAYS CAPITAL INC	\$3,246.27
	BOFA SECURITIES INC	\$13,753.96
	CITIGROUP GLOBAL MARKETS INC	\$82.83
	CREDIT SUISSE SECURITIES LLC	\$435.00
	EVERCORE GROUP LLC	\$1,500.00
	GOLDMAN SACHS & CO	\$16,927.40
	GUGGENHEIM SECURITIES LLC	\$5,480.00
	JP MORGAN SECURITIES LLC	\$2,624.50
	MACQUARIE CAPITAL (USA), INC	\$3,439.28
	MIZUHO SECURITIES CO	\$6,652.00
	MORGAN STANLEY & COMPANY LLC	\$1,149.09
	RBC CAPITAL MARKETS LLC	\$6,572.10
	SANFORD C BERNSTEIN & CO INC	\$280.38
	SANTANDER INVESTMENT SECURITIES	\$29,397.10
	STRATEGAS SECURITIES LLC	\$2,000.00
	UBS SECURITIES LLC	\$28,286.89
	WELLS FARGO SECURITIES LLC	\$52.50
	WOLFE RESEARCH	\$13,570.31

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS EMERGING MARKETS OPPORTUNITIES FUND	BAIRD ROBERT W & CO INC	\$5,519.97
	BNP PARIBAS SECURITIES CORP	\$67.75
	BOFA SECURITIES INC	\$45,802.12
	BTIG LLC	\$551.86
	CITIBANK N A	\$4,105.47
	CITIGROUP GLOBAL MARKETS INC	\$4,255.59
	CLSA LTD	\$4,037.52
	CREDIT SUISSE SECURITIES LLC	\$30,933.17
	DEUTSCHE BANK	\$8,205.76
	GOLDMAN SACHS & CO	\$12,680.24
	HSBC SECURITIES INC	\$71,604.48
	INSTINET CORPORATION	\$71,428.38
	ISI GROUP INC	\$732.15
	ITAU UNIBANCO SA	\$2,713.28
	JEFFERIES & COMPANY INC	\$17,103.37
	JP MORGAN SECURITIES LLC	\$79,986.98
	KB SECURITIES CO LTD	\$4,056.38
	MACQUARIE CAPITAL (USA), INC	\$1,306.58
	MORGAN STANLEY & COMPANY LLC	\$12,242.66
	NOMURA SECURITIES INTL INC	\$1,888.04
ONEIL SECURITIES	\$6,567.50	
SANFORD C BERNSTEIN & CO INC	\$2,946.62	
STONEX FINANCIAL INC	\$266.71	
UBS SECURITIES LLC	\$15,909.55	
VIRTUS GLOBAL ALLOCATION FUND	BOFA SECURITIES INC	\$1,582.74
	BTIG LLC	\$65.97
	CITIBANK N A	\$203.80
	CITIGROUP GLOBAL MARKETS INC	\$1,752.42
	GOLDMAN SACHS & CO	\$1,092.19
	HSBC SECURITIES INC	\$132.96
	INSTINET CORPORATION	\$1,870.10
	ITAU USA SECURITIES INC	\$32.64
	JEFFERIES & COMPANY INC	\$2,041.30
	JP MORGAN SECURITIES LLC	\$2,127.49
	LARRAIN VIAL SANTIAGO	\$10.57
	MORGAN STANLEY & COMPANY LLC	\$3,984.24
	RBC CAPITAL MARKETS LLC	\$4.21
	UNKNOWN BROKER	\$0.00
VIRTUS GLOBAL DYNAMIC ALLOCATION FUND	ABG SECURITIES	\$5.51
	BARCLAYS CAPITAL INC	\$1.24
	BLAIR WILLIAM & COMPANY LLC	\$11.50
	BOFA SECURITIES INC	\$537.29
	CITIBANK N A	\$44.95
	CITIGROUP GLOBAL MARKETS INC	\$6,332.11
	CREDIT SUISSE SECURITIES LLC	\$54.62
	DEUTSCHE BANK	\$32.22
	EVERCORE GROUP LLC	\$32.76
	EXANE INC	\$43.30
	GOLDMAN SACHS & CO	\$75.43
	HSBC SECURITIES INC	\$180.26
	INSTINET CORPORATION	\$2,715.49
	JEFFERIES & COMPANY INC	\$2,675.44
	JP MORGAN SECURITIES LLC	\$567.29
	KB SECURITIES CO LTD	\$88.06
	LARRAIN VIAL SANTIAGO	\$3.58
	MIRAE ASSET SECURITIES	\$24.98
	MORGAN STANLEY & COMPANY LLC	\$325.86
	RAYMOND JAMES ASSOC INC	\$5.94
	RBC CAPITAL MARKETS LLC	\$43.95
	SANFORD C BERNSTEIN & CO INC	\$56.42
	SG COWEN SEC CORP	\$16.40
	STIFEL NICHOLAUS & CO INC	\$12.00
UBS SECURITIES LLC	\$52.74	
WELLS FARGO SECURITIES LLC	\$21.82	
VIRTUS INCOME & GROWTH FUND	BARCLAYS CAPITAL INC	\$34,810.60
	BLAIR WILLIAM & COMPANY LLC	\$14,319.58
	BMO CAPITAL MARKETS	\$16,061.05
	BOFA SECURITIES INC	\$44,796.56
	CITIGROUP GLOBAL MARKETS INC	\$21,907.06
	CREDIT SUISSE SECURITIES LLC	\$66,935.91
	GOLDMAN SACHS & CO	\$53,027.66
	INSTINET CORPORATION	\$2,607.50
	JEFFERIES & COMPANY INC	\$6,077.17
	JP MORGAN SECURITIES LLC	\$1,291.10
	KEYBANC CAPITAL MARKETS	\$30,847.02
	LUMINEX TRADING	\$1,140.55
	MIZUHO SECURITIES CO	\$362.86
	MORGAN STANLEY & COMPANY LLC	\$55,199.14
	NATIONAL FINANCIAL SERVICES LLC	\$761.10
	ONEIL SECURITIES	\$58,297.05
	OPPENHEIMER & CO INC	\$36,500.15
	RAYMOND JAMES ASSOC INC	\$2,532.06
	ROSENBLATT SECURITIES	\$2,785.80
	SG COWEN SEC CORP	\$1,388.28
	STIFEL NICHOLAUS & CO INC	\$882.76
	SUSQUEHANNA FINANCIAL GROUP LLLP	\$8,269.96
	U S BANCORP PIPER JAFFRAY INC	\$5,156.99
	UBS SECURITIES LLC	\$5,961.73
WELLS FARGO SECURITIES LLC	\$1,861.82	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS INTERNATIONAL SMALL-CAP FUND	BAIRD ROBERT W & CO INC	\$285.11	
	BARCLAYS CAPITAL INC	\$332.58	
	BLAIR WILLIAM & COMPANY LLC	\$125.26	
	BNP PARIBAS SECURITIES CORP	\$218.55	
	BOFA SECURITIES INC	\$8,612.91	
	CANACCORD GENUITY GROUP INC	\$1,579.86	
	CITIBANK N A	\$4,705.43	
	CITIGROUP GLOBAL MARKETS INC	\$559.03	
	CLSA LTD	\$372.94	
	CREDIT LYONNAIS	\$389.97	
	CREDIT SUISSE SECURITIES LLC	\$3,168.41	
	D CARNEGIE AB	\$65.72	
	DANSKE BANK	\$17.71	
	EXANE INC	\$16.91	
	GOLDMAN SACHS & CO	\$6,051.56	
	GOODBODY STOCKBROKERS	\$301.20	
	HSBC SECURITIES INC	\$1,049.84	
	INSTINET CORPORATION	\$5,372.06	
	JEFFERIES & COMPANY INC	\$8,035.69	
	JP MORGAN SECURITIES LLC	\$11,015.45	
	MACQUARIE CAPITAL (USA), INC	\$111.15	
	MAINFIRST BANK AG	\$0.50	
	MIRAE ASSET SECURITIES	\$104.50	
	MITSUBISHI UFJ SECURITIES INC	\$1,990.35	
	MIZUHO SECURITIES CO	\$86.63	
	MORGAN STANLEY & COMPANY LLC	\$2,389.01	
	PEEL HUNT AND CO	\$8.26	
	SANFORD C BERNSTEIN & CO INC	\$62.97	
	SG COWEN SEC CORP	\$399.56	
	SMBC NIKKO SECURITIES AMERICA INC	\$146.52	
	SOCIETE GENERALE	\$178.14	
	UBS SECURITIES LLC	\$3,991.91	
	UNKNOWN BROKER	\$338.35	
	VIRTUS KAR CAPITAL GROWTH FUND	BAIRD ROBERT W & CO INC	\$2,074.44
		BLAIR WILLIAM & COMPANY LLC	\$5,241.16
		GOLDMAN SACHS & CO	\$4,291.40
		JP MORGAN SECURITIES LLC	\$3,393.04
		LIQUIDNET INC	\$4,265.68
MORGAN STANLEY & COMPANY LLC		\$4,680.32	
NEEDHAM & CO		\$11,914.24	
RAYMOND JAMES ASSOC INC		\$13,872.32	
SANFORD C BERNSTEIN & CO INC		\$5,301.64	
STIFEL NICHOLAUS & CO INC		\$1,747.96	
STRATEGAS SECURITIES LLC		\$6,266.92	
TRUIST BANK		\$2,058.20	
VIRTUS KAR DEVELOPING MARKETS FUND		AUERBACH GRAYSON AND CO INC	\$78.81
		BOFA SECURITIES INC	\$100.82
		BTG PACTUAL	\$6.64
	CLSA LTD	\$70.64	
	GOLDMAN SACHS & CO	\$67.37	
	HSBC SECURITIES INC	\$7.13	
	ITAU UNIBANCO SA	\$30.73	
	JP MORGAN SECURITIES LLC	\$49.04	
	LIQUIDNET INC	\$71.28	
	MORGAN STANLEY & COMPANY LLC	\$72.96	
	NUMIS SECURITIES LTD	\$35.24	
	RENCAP SECURITIES INC	\$48.56	
	SANFORD C BERNSTEIN & CO INC	\$20.05	
	UBS SECURITIES LLC	\$206.27	
	WOOD AND COMPANY SECURITIES	\$61.42	
VIRTUS KAR EMERGING MARKETS SMALL-CAP FUND	ABG SECURITIES	\$2,957.28	
	AUERBACH GRAYSON AND CO INC	\$10,000.49	
	BERENBERG BANK	\$13,579.04	
	BOFA SECURITIES INC	\$21,958.63	
	BTG PACTUAL	\$2,001.61	
	CARNEGIE AB	\$6,101.86	
	CITIGROUP GLOBAL MARKETS INC	\$16,819.38	
	CLSA LTD	\$14,243.96	
	DAIWA CAPITAL MARKETS AMERICA INC	\$278.07	
	GOLDMAN SACHS & CO	\$8,532.22	
	HSBC SECURITIES INC	\$5,114.56	
	ITAU UNIBANCO SA	\$11,264.57	
	JEFFERIES & COMPANY INC	\$10,903.82	
	JP MORGAN SECURITIES LLC	\$13,503.28	
	KOREA INVESTMENT & SECURITIES CO	\$9,632.96	
	LIQUIDNET INC	\$10,873.63	
	MACQUARIE CAPITAL (USA), INC	\$24,336.57	
	MORGAN STANLEY & COMPANY LLC	\$7,797.76	
	NUMIS SECURITIES LTD	\$1,399.10	
	PEEL HUNT AND CO	\$45.39	
	RENCAP SECURITIES INC	\$6,829.80	
	SANFORD C BERNSTEIN & CO INC	\$3,728.10	
	UBS SECURITIES LLC	\$33,294.57	
	WOOD AND COMPANY SECURITIES	\$6,882.76	
	YUANTA SECURITIES	\$4,750.81	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS KAR EQUITY INCOME FUND	BAIRD ROBERT W & CO INC	\$3,006.96	
	BARCLAYS CAPITAL INC	\$4,412.84	
	RAYMOND JAMES ASSOC INC	\$851.56	
	SANFORD C BERNSTEIN & CO INC	\$1,424.56	
	STIFEL NICHOLAUS & CO INC	\$3,062.12	
	STRATEGAS SECURITIES LLC	\$3,621.68	
VIRTUS KAR GLOBAL QUALITY DIVIDEND FUND	AUERBACH GRAYSON AND CO INC	\$3,119.74	
	BAIRD ROBERT W & CO INC	\$857.08	
	CARNEGIE AB	\$1,265.94	
	ENSKILDA SECURITIES INC	\$1,011.86	
	SANFORD C BERNSTEIN & CO INC	\$622.29	
	STRATEGAS SECURITIES LLC	\$773.20	
VIRTUS KAR GLOBAL SMALL-CAP FUND	ABG SECURITIES	\$239.31	
	BAIRD ROBERT W & CO INC	\$21.48	
	BARCLAYS CAPITAL INC	\$293.26	
	BERENBERG BANK	\$441.48	
	BLAIR WILLIAM & COMPANY LLC	\$747.18	
	BMO CAPITAL MARKETS	\$91.20	
	BNP PARIBAS SECURITIES CORP	\$55.73	
	BOFA SECURITIES INC	\$5,668.49	
	BTIG LLC	\$503.62	
	CANACCORD GENUITY GROUP INC	\$727.54	
	CARNEGIE AB	\$1,462.49	
	CITIGROUP GLOBAL MARKETS INC	\$2,171.61	
	CLSA LTD	\$136.80	
	CREDIT LYONNAIS	\$195.00	
	CREDIT SUISSE SECURITIES LLC	\$4,230.05	
	D CARNEGIE AB	\$31.35	
	DAIWA CAPITAL MARKETS AMERICA INC	\$775.37	
	DANSKE BANK	\$80.56	
	EXANE INC	\$7.84	
	GOLDMAN SACHS & CO	\$4,233.03	
	GOODBODY STOCKBROKERS	\$155.96	
	HSBC SECURITIES INC	\$569.39	
	INSTINET CORPORATION	\$1,475.73	
	INVESTEC SECURITIES	\$0.46	
	JEFFERIES & COMPANY INC	\$1,992.97	
	JONES TRADING AND INVESTMENT SERVICES	\$609.64	
	JP MORGAN SECURITIES LLC	\$2,423.25	
	KEYBANC CAPITAL MARKETS	\$217.64	
	LIQUIDNET INC	\$283.02	
	LUMINEX TRADING	\$133.00	
	MACQUARIE CAPITAL (USA), INC	\$394.11	
	MAINFIRST BANK AG	\$16.65	
	MIRAE ASSET SECURITIES	\$47.38	
	MITSUBISHI UFJ SECURITIES INC	\$1,074.18	
	MIZUHO SECURITIES CO	\$41.76	
	MORGAN STANLEY & COMPANY LLC	\$4,979.81	
	NUMIS SECURITIES LTD	\$382.03	
	OPPENHEIMER & CO INC	\$1,961.50	
	PAREL	\$1.55	
	PEEL HUNT AND CO	\$356.53	
	RAYMOND JAMES ASSOC INC	\$74.25	
	RBC CAPITAL MARKETS LLC	\$633.45	
	SANFORD C BERNSTEIN & CO INC	\$480.61	
	SG COWEN SEC CORP	\$2,348.39	
	SMBC NIKKO SECURITIES AMERICA INC	\$94.50	
	SOCIETE GENERALE	\$30.24	
	STEPHENS INC	\$100.06	
	STIFEL NICHOLAUS & CO INC	\$870.70	
	U S BANCORP PIPER JAFFRAY INC	\$2,125.25	
	UBS SECURITIES LLC	\$2,984.42	
	WELLS FARGO SECURITIES LLC	\$1,164.53	
	VIRTUS KAR HEALTH SCIENCES FUND	BAIRD ROBERT W & CO INC	\$788.56
		BARCLAYS CAPITAL INC	\$407.56
		BMO CAPITAL MARKETS	\$180.53
		BOFA SECURITIES INC	\$2,908.51
		CREDIT SUISSE SECURITIES LLC	\$4,288.28
		GOLDMAN SACHS & CO	\$1,543.86
		INSTINET CORPORATION	\$75.65
		ISI GROUP INC	\$2,688.01
		JEFFERIES & COMPANY INC	\$8,092.63
		JONES TRADING AND INVESTMENT SERVICES	\$1,554.14
		JP MORGAN SECURITIES LLC	\$1,728.32
		KEYBANC CAPITAL MARKETS	\$8,742.18
		MORGAN STANLEY & COMPANY LLC	\$3,408.31
		OPPENHEIMER & CO INC	\$257.42
		RAYMOND JAMES ASSOC INC	\$731.93
		RBC CAPITAL MARKETS LLC	\$3,695.42
		SANFORD C BERNSTEIN & CO INC	\$2,133.51
		SG COWEN SEC CORP	\$5,756.77
		STIFEL NICHOLAUS & CO INC	\$154.06
		U S BANCORP PIPER JAFFRAY INC	\$1,650.91
UBS SECURITIES LLC		\$1,562.79	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS KAR INTERNATIONAL SMALL-MID CAP FUND	ABG SECURITIES	\$26,971.03	
	AUERBACH GRAYSON AND CO INC	\$288,465.58	
	BERENBERG BANK	\$126,577.49	
	BOFA SECURITIES INC	\$129,671.26	
	BTG PACTUAL	\$2,418.99	
	CARNEGIE AB	\$26,699.10	
	CITIGROUP GLOBAL MARKETS INC	\$6,707.35	
	CLSA LTD	\$22,044.94	
	CREDIT SUISSE SECURITIES LLC	\$42,674.56	
	DAIWA CAPITAL MARKETS AMERICA INC	\$29,579.16	
	DANSKE BANK	\$3,914.10	
	DNB NOR BANK ASA	\$358.67	
	ENSKILDA SECURITIES INC	\$7,800.64	
	GOLDMAN SACHS & CO	\$36,997.55	
	HSBC SECURITIES INC	\$28,712.79	
	ICHIYOSHI SECURITIES	\$658.34	
	INSTINET CORPORATION	\$19,971.51	
	JEFFERIES & COMPANY INC	\$15,025.71	
	JP MORGAN SECURITIES LLC	\$12,095.71	
	KEPLER CAPITAL MARKET INC	\$35,619.44	
	KOREA INVESTMENT & SECURITIES CO	\$24,445.67	
	LIQUIDNET INC	\$235,299.29	
	MACQUARIE CAPITAL (USA), INC	\$151,533.83	
	MAYBANK	\$1,893.06	
	MORGAN STANLEY & COMPANY LLC	\$13,234.33	
	NUMIS SECURITIES LTD	\$60,626.99	
	PEEL HUNT AND CO	\$9,215.35	
	RAYMOND JAMES ASSOC INC	\$25,442.40	
	RBC CAPITAL MARKETS LLC	\$119,471.76	
	RENCAP SECURITIES INC	\$9,692.28	
	SANFORD C BERNSTEIN & CO INC	\$186,898.32	
	SPAREBANK 1 MARKETS	\$4,601.18	
	UBS SECURITIES LLC	\$189,523.77	
	VIRTUS KAR LONG/SHORT EQUITY FUND	GOLDMAN SACHS & CO	\$76.96
		JP MORGAN SECURITIES LLC	\$366.88
		KEEFE BRUYETTE & WOODS INC	\$1,444.20
		LIQUIDNET INC	\$865.41
		MORGAN STANLEY & COMPANY LLC	\$81,511.08
SANFORD C BERNSTEIN & CO INC		\$1,908.44	
UBS SECURITIES LLC	\$5,167.63		
VIRTUS KAR MID-CAP CORE FUND	BAIRD ROBERT W & CO INC	\$22,462.12	
	BARCLAYS CAPITAL INC	\$19,449.96	
	BLAIR WILLIAM & COMPANY LLC	\$19,253.36	
	GOLDMAN SACHS & CO	\$19.48	
	INSTINET CORPORATION	\$6,954.24	
	JEFFERIES & COMPANY INC	\$12,496.80	
	KEEFE BRUYETTE & WOODS INC	\$9,857.84	
	LIQUIDNET INC	\$16,846.48	
	NEEDHAM & CO	\$3,143.12	
	RAYMOND JAMES ASSOC INC	\$21,705.56	
	SANDLER O'NEILL & PARTNERS LP	\$12,695.12	
	SANFORD C BERNSTEIN & CO INC	\$11,693.68	
	STEPHENS INC	\$6,616.64	
	STIFEL NICHOLAUS & CO INC	\$10,412.72	
	TRUIST BANK	\$4,175.64	
VIRTUS KAR MID-CAP GROWTH FUND	BAIRD ROBERT W & CO INC	\$26,210.56	
	BARCLAYS CAPITAL INC	\$8,978.12	
	BLAIR WILLIAM & COMPANY LLC	\$2,609.32	
	GOLDMAN SACHS & CO	\$73,895.48	
	INSTINET CORPORATION	\$15,745.48	
	JP MORGAN SECURITIES LLC	\$56,398.12	
	LIQUIDNET INC	\$17,421.44	
	MORGAN STANLEY & COMPANY LLC	\$26,405.12	
	NEEDHAM & CO	\$17,848.48	
	RAYMOND JAMES ASSOC INC	\$34,657.48	
	RBC CAPITAL MARKETS LLC	\$2,259.92	
	SANFORD C BERNSTEIN & CO INC	\$76,432.36	
	STIFEL NICHOLAUS & CO INC	\$9,691.60	
	TRUIST BANK	\$3,266.72	
WELLS FARGO SECURITIES LLC	\$3,749.96		
VIRTUS KAR SMALL-CAP CORE FUND	BAIRD ROBERT W & CO INC	\$13,338.88	
	BLAIR WILLIAM & COMPANY LLC	\$12,419.00	
	GOLDMAN SACHS & CO	\$24,529.08	
	HSBC SECURITIES INC	\$251.00	
	JEFFERIES & COMPANY INC	\$5,279.68	
	KEEFE BRUYETTE & WOODS INC	\$14,350.80	
	LIQUIDNET INC	\$11,983.54	
	MORGAN STANLEY & COMPANY LLC	\$5,699.20	
	NUMIS SECURITIES LTD	\$13,134.60	
	RAYMOND JAMES ASSOC INC	\$3,632.00	
	SANDLER O'NEILL & PARTNERS LP	\$1,927.04	
	SANFORD C BERNSTEIN & CO INC	\$25,722.93	
	UBS SECURITIES LLC	\$9,776.11	
	WELLS FARGO SECURITIES LLC	\$7,280.00	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS KAR SMALL-CAP GROWTH FUND	AUERBACH GRAYSON AND CO INC	\$37,633.64
	BAIRD ROBERT W & CO INC	\$42,481.20
	BARCLAYS CAPITAL INC	\$18,282.08
	BLAIR WILLIAM & COMPANY LLC	\$21,489.28
	CREDIT SUISSE SECURITIES LLC	\$5,076.26
	DAIWA CAPITAL MARKETS AMERICA INC	\$1,232.00
	GOLDMAN SACHS & CO	\$115,535.48
	HSBC SECURITIES INC	\$1,057.80
	JEFFERIES & COMPANY INC	\$82,804.68
	JP MORGAN SECURITIES LLC	\$116,526.03
	KEEFE BRUYETTE & WOODS INC	\$18,595.44
	KEPLER CAPITAL MARKET INC	\$21,521.92
	LIQUIDNET INC	\$213,647.73
	MORGAN STANLEY & COMPANY LLC	\$55,477.08
	NUMIS SECURITIES LTD	\$37,561.65
	RAYMOND JAMES ASSOC INC	\$14,947.48
	RBC CAPITAL MARKETS LLC	\$4,539.96
	SANDLER O'NEILL & PARTNERS LP	\$70,463.26
	SANFORD C BERNSTEIN & CO INC	\$346,751.80
	STEPHENS INC	\$7,268.00
STIFEL NICHOLAUS & CO INC	\$24,863.04	
TRUIST BANK	\$13,345.44	
UBS SECURITIES LLC	\$18,699.52	
WELLS FARGO SECURITIES LLC	\$6,768.52	
VIRTUS KAR SMALL-CAP VALUE FUND	BAIRD ROBERT W & CO INC	\$45,064.88
	BLAIR WILLIAM & COMPANY LLC	\$2,872.00
	CITIGROUP GLOBAL MARKETS INC	\$1,800.00
	GOLDMAN SACHS & CO	\$5,003.52
	JEFFERIES & COMPANY INC	\$7,108.96
	JP MORGAN SECURITIES LLC	\$13,617.56
	KEEFE BRUYETTE & WOODS INC	\$7,055.84
	LIQUIDNET INC	\$29,279.68
	RAYMOND JAMES ASSOC INC	\$22,468.00
	SANDLER O'NEILL & PARTNERS LP	\$8,063.56
	SANFORD C BERNSTEIN & CO INC	\$21,218.80
STIFEL NICHOLAUS & CO INC	\$9,960.00	
VIRTUS KAR SMALL-MID CAP CORE FUND	BAIRD ROBERT W & CO INC	\$7,245.88
	BLAIR WILLIAM & COMPANY LLC	\$15,290.12
	BOFA SECURITIES INC	\$7,159.40
	GOLDMAN SACHS & CO	\$1,646.52
	INSTINET CORPORATION	\$6,115.92
	JEFFERIES & COMPANY INC	\$2,700.32
	KEEFE BRUYETTE & WOODS INC	\$2,149.52
	LIQUIDNET INC	\$9,017.88
	RAYMOND JAMES ASSOC INC	\$9,100.16
	SANDLER O'NEILL & PARTNERS LP	\$1,252.84
	SANFORD C BERNSTEIN & CO INC	\$14,829.16
	STIFEL NICHOLAUS & CO INC	\$6,553.44
	TRUIST BANK	\$7,825.28
WELLS FARGO SECURITIES LLC	\$2,575.32	
VIRTUS KAR SMALL-MID CAP GROWTH FUND	BARCLAYS CAPITAL INC	\$18.64
	BLAIR WILLIAM & COMPANY LLC	\$40.36
	BOFA SECURITIES INC	\$403.64
	LIQUIDNET INC	\$50.64
	NEEDHAM & CO	\$64.40
	RBC CAPITAL MARKETS LLC	\$74.76
	SANDLER O'NEILL & PARTNERS LP	\$1.58
	SANFORD C BERNSTEIN & CO INC	\$58.60
VIRTUS KAR SMALL-MID CAP VALUE FUND	BLAIR WILLIAM & COMPANY LLC	\$9.76
	JEFFERIES & COMPANY INC	\$5.44
	LIQUIDNET INC	\$22.80
	MORGAN STANLEY & COMPANY LLC	\$71.96
	SANDLER O'NEILL & PARTNERS LP	\$62.68
	SANFORD C BERNSTEIN & CO INC	\$44.64
	STEPHENS INC	\$38.60
WELLS FARGO SECURITIES LLC	\$95.80	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS NFJ DIVIDEND VALUE FUND	BAIRD ROBERT W & CO INC	\$2,328.81	
	BARCLAYS CAPITAL INC	\$12,574.17	
	BOFA SECURITIES INC	\$28,668.85	
	BTIG LLC	\$32,277.68	
	CANTOR FITZGERALD & CO	\$9,596.23	
	CAPITAL INSTITUTIONAL SERVICES INC	\$21,267.98	
	CITIGROUP GLOBAL MARKETS INC	\$5,558.56	
	CREDIT SUISSE SECURITIES LLC	\$4,252.94	
	EVERCORE GROUP LLC	\$9,217.74	
	FRIEDMAN BILLINGS AND RAMSEY	\$3,493.02	
	GOLDMAN SACHS & CO	\$5,537.93	
	INSTINET CORPORATION	\$9,179.48	
	ITG INC	\$8,501.06	
	JEFFERIES & COMPANY INC	\$16,111.38	
	JONES TRADING AND INVESTMENT SERVICES	\$23,222.40	
	JP MORGAN SECURITIES LLC	\$825.00	
	KEEFE BRUYETTE & WOODS INC	\$3,153.71	
	KEYBANC CAPITAL MARKETS	\$3,441.16	
	LIQUIDNET INC	\$552.20	
	MORGAN STANLEY & COMPANY LLC	\$10,632.41	
	OPPENHEIMER & CO INC	\$4,467.05	
	RAYMOND JAMES ASSOC INC	\$7,971.61	
	RBC CAPITAL MARKETS LLC	\$909.80	
	SANFORD C BERNSTEIN & CO INC	\$6,037.72	
	SG COWEN SEC CORP	\$15,012.71	
	STIFEL NICHOLAUS & CO INC	\$7,575.97	
	STONEX FINANCIAL INC	\$15,870.42	
	STRATEGAS SECURITIES LLC	\$7,451.40	
	SUSQUEHANNA FINANCIAL GROUP LLLP	\$8,704.57	
	U S BANCORP PIPER JAFFRAY INC	\$10,668.34	
	UBS SECURITIES LLC	\$2,829.86	
	WELLS FARGO SECURITIES LLC	\$198.00	
	VIRTUS NFJ EMERGING MARKETS VALUE FUND	BAIRD ROBERT W & CO INC	\$2,880.14
		BARCLAYS CAPITAL INC	\$45.38
		BMO CAPITAL MARKETS	\$2,141.44
		BOFA SECURITIES INC	\$24.62
		BTIG LLC	\$47,720.19
		CANTOR FITZGERALD & CO	\$196.87
		CITIBANK N A	\$4,316.29
CITIGROUP GLOBAL MARKETS INC		\$509.52	
CREDIT SUISSE SECURITIES LLC		\$1,352.47	
EVERCORE GROUP LLC		\$1,801.19	
INSTINET CORPORATION		\$87,899.46	
ITAU UNIBANCO SA		\$12,822.97	
ITG INC		\$32.78	
JEFFERIES & COMPANY INC		\$1,372.96	
JP MORGAN SECURITIES LLC		\$576.50	
RBC CAPITAL MARKETS LLC		\$692.89	
SG COWEN SEC CORP		\$546.98	
STIFEL NICHOLAUS & CO INC		\$217.57	
STONEX FINANCIAL INC		\$166.22	
U S BANCORP PIPER JAFFRAY INC		\$281.69	
UBS SECURITIES LLC		\$16,240.47	
VIRTUS NFJ GLOBAL SUSTAINABILITY FUND	BAIRD ROBERT W & CO INC	\$1,439.29	
	BARCLAYS CAPITAL INC	\$402.14	
	BLAIR WILLIAM & COMPANY LLC	\$504.60	
	BOFA SECURITIES INC	\$1,546.14	
	BTIG LLC	\$1,474.25	
	CANTOR FITZGERALD & CO	\$6,961.78	
	CAPITAL INSTITUTIONAL SERVICES INC	\$2,946.66	
	CITIBANK N A	\$877.69	
	CITIGROUP GLOBAL MARKETS INC	\$2,705.53	
	CREDIT SUISSE SECURITIES LLC	\$2,960.58	
	DANSKE BANK	\$144.53	
	GOLDMAN SACHS & CO	\$3,688.88	
	HSBC SECURITIES INC	\$234.01	
	INSTINET CORPORATION	\$195.37	
	JEFFERIES & COMPANY INC	\$933.15	
	JONES TRADING AND INVESTMENT SERVICES	\$6,869.38	
	JP MORGAN SECURITIES LLC	\$1,331.81	
	KEYBANC CAPITAL MARKETS	\$169.98	
	MIITSUBISHI UFJ SECURITIES INC	\$297.35	
	PAREL	\$11.72	
	RAYMOND JAMES ASSOC INC	\$1,421.74	
	SANFORD C BERNSTEIN & CO INC	\$176.46	
	SG COWEN SEC CORP	\$169.42	
	U S BANCORP PIPER JAFFRAY INC	\$608.02	
	UBS SECURITIES LLC	\$727.73	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS NFJ INTERNATIONAL VALUE FUND	BAIRD ROBERT W & CO INC	\$15,862.27
	BARCLAYS CAPITAL INC	\$2,747.65
	BMO CAPITAL MARKETS	\$2,752.30
	BOFA SECURITIES INC	\$105.31
	BTIG LLC	\$31,629.17
	CANTOR FITZGERALD & CO	\$31.42
	CITIBANK N A	\$5,249.47
	CITIGROUP GLOBAL MARKETS INC	\$446.42
	CREDIT SUISSE SECURITIES LLC	\$4,782.21
	EVERCORE GROUP LLC	\$2,073.85
	INSTINET CORPORATION	\$55,904.61
	ITAU UNIBANCO SA	\$2,124.25
	ITG INC	\$4,997.97
	JEFFERIES & COMPANY INC	\$39.54
	JONES TRADING AND INVESTMENT SERVICES	\$3,614.32
	JP MORGAN SECURITIES LLC	\$202.24
	OPPENHEIMER & CO INC	\$71.84
	RAYMOND JAMES ASSOC INC	\$358.12
	RBC CAPITAL MARKETS LLC	\$2,561.01
	SG COWEN SEC CORP	\$1,190.53
STEPHENS INC	\$124.64	
STONEX FINANCIAL INC	\$552.02	
U S BANCORP PIPER JAFFRAY INC	\$394.61	
UBS SECURITIES LLC	\$7,915.90	
VIRTUS NFJ LARGE-CAP VALUE FUND	BAIRD ROBERT W & CO INC	\$2,510.18
	BARCLAYS CAPITAL INC	\$2,054.68
	BOFA SECURITIES INC	\$10,359.12
	BTIG LLC	\$959.38
	CANTOR FITZGERALD & CO	\$6,666.49
	EVERCORE GROUP LLC	\$2,714.18
	FRIEDMAN BILLINGS AND RAMSEY	\$4,052.54
	GOLDMAN SACHS & CO	\$579.55
	INSTINET CORPORATION	\$2,091.11
	ITG INC	\$803.10
	JEFFERIES & COMPANY INC	\$6,044.77
	JONES TRADING AND INVESTMENT SERVICES	\$3,690.29
	KEYBANC CAPITAL MARKETS	\$3,838.60
	LIQUIDNET INC	\$738.64
	MORGAN STANLEY & COMPANY LLC	\$987.00
	OPPENHEIMER & CO INC	\$851.56
	RAYMOND JAMES ASSOC INC	\$1,513.25
	RBC CAPITAL MARKETS LLC	\$310.62
	SANFORD C BERNSTEIN & CO INC	\$164.42
	SG COWEN SEC CORP	\$1,388.68
STEPHENS INC	\$3,306.90	
STONEX FINANCIAL INC	\$4,207.54	
U S BANCORP PIPER JAFFRAY INC	\$31,383.37	
VIRTUS NFJ MID-CAP VALUE FUND	BAIRD ROBERT W & CO INC	\$24,009.21
	BARCLAYS CAPITAL INC	\$93,138.14
	BMO CAPITAL MARKETS	\$1,465.46
	BOFA SECURITIES INC	\$96,384.82
	BTIG LLC	\$99,704.54
	CANTOR FITZGERALD & CO	\$45,961.52
	CAPITAL INSTITUTIONAL SERVICES INC	\$38,215.03
	CITIGROUP GLOBAL MARKETS INC	\$16,081.82
	CREDIT SUISSE SECURITIES LLC	\$121,436.24
	EVERCORE GROUP LLC	\$22,362.23
	FRIEDMAN BILLINGS AND RAMSEY	\$28,825.07
	GOLDMAN SACHS & CO	\$32,225.02
	INSTINET CORPORATION	\$9,772.66
	ITG INC	\$7,122.46
	JEFFERIES & COMPANY INC	\$116,369.65
	JONES TRADING AND INVESTMENT SERVICES	\$14,000.04
	JP MORGAN SECURITIES LLC	\$16,912.72
	KEEFE BRUYETTE & WOODS INC	\$15,091.99
	KEYBANC CAPITAL MARKETS	\$9,199.19
	MORGAN STANLEY & COMPANY LLC	\$7,264.53
OPPENHEIMER & CO INC	\$30,191.35	
RAYMOND JAMES ASSOC INC	\$3,872.78	
RBC CAPITAL MARKETS LLC	\$7,981.83	
SANFORD C BERNSTEIN & CO INC	\$23,564.23	
SG COWEN SEC CORP	\$19,466.88	
STEPHENS INC	\$5,543.93	
STIFEL NICHOLAUS & CO INC	\$13,859.46	
STONEX FINANCIAL INC	\$43,195.85	
STRATEGAS SECURITIES LLC	\$22,307.38	
SUSQUEHANNA FINANCIAL GROUP LLLP	\$33,298.53	
U S BANCORP PIPER JAFFRAY INC	\$96,009.06	
UBS SECURITIES LLC	\$8,233.32	
WELLS FARGO SECURITIES LLC	\$17,618.69	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS NFJ SMALL-CAP VALUE FUND	BAIRD ROBERT W & CO INC	\$6,499.62	
	BARCLAYS CAPITAL INC	\$4,005.06	
	BMO CAPITAL MARKETS	\$1,265.51	
	BOFA SECURITIES INC	\$18,963.71	
	BTIG LLC	\$22,457.33	
	CANTOR FITZGERALD & CO	\$11,982.34	
	CAPITAL INSTITUTIONAL SERVICES INC	\$9,760.95	
	CITIGROUP GLOBAL MARKETS INC	\$7,559.35	
	CREDIT SUISSE SECURITIES LLC	\$26,693.13	
	EVERCORE GROUP LLC	\$17,055.17	
	FRIEDMAN BILLINGS AND RAMSEY	\$11,754.30	
	GOLDMAN SACHS & CO	\$8,478.54	
	INSTINET CORPORATION	\$6,510.58	
	ITG INC	\$1,338.59	
	JEFFERIES & COMPANY INC	\$15,941.54	
	JONES TRADING AND INVESTMENT SERVICES	\$17,654.68	
	JP MORGAN SECURITIES LLC	\$23,992.43	
	KEEFE BRUYETTE & WOODS INC	\$12,147.01	
	KEYBANC CAPITAL MARKETS	\$17,329.05	
	LIQUIDNET INC	\$7,189.32	
	MORGAN STANLEY & COMPANY LLC	\$2,144.38	
	OPPENHEIMER & CO INC	\$11,952.24	
	RAYMOND JAMES ASSOC INC	\$4,293.60	
	RBC CAPITAL MARKETS LLC	\$12,781.61	
	SANDLER O'NEIL & PARTNERS LP	\$35.91	
	SANFORD C BERNSTEIN & CO INC	\$11,107.13	
	SG COWEN SEC CORP	\$2,163.66	
	STATE STREET BANK & TRUST	\$52.36	
	STEPHENS INC	\$4,305.71	
	STIFEL NICHOLAUS & CO INC	\$6,596.25	
	STONEX FINANCIAL INC	\$3,052.61	
	STRATEGAS SECURITIES LLC	\$4,552.42	
	SUSQUEHANNA FINANCIAL GROUP LLLP	\$5,080.00	
	U S BANCORP PIPER JAFFRAY INC	\$35,966.96	
	UBS SECURITIES LLC	\$8,238.80	
	WELLS FARGO SECURITIES LLC	\$7,149.19	
	VIRTUS SGA EMERGING MARKETS GROWTH FUND	EVERCORE GROUP LLC	\$0.84
		JONES TRADING AND INVESTMENT SERVICES	\$228.51
		RBC CAPITAL MARKETS LLC	\$11.99
		SG COWEN SEC CORP	\$158.66
	VIRTUS SGA GLOBAL GROWTH FUND	BARCLAYS CAPITAL INC	\$3,720.47
		BLAIR WILLIAM & COMPANY LLC	\$595.22
BOFA SECURITIES INC		\$6,965.96	
CITIGROUP GLOBAL MARKETS INC		\$2,355.73	
CREDIT SUISSE SECURITIES LLC		\$989.71	
EVERCORE GROUP LLC		\$5,399.18	
GOLDMAN SACHS & CO		\$1,496.10	
INSTINET CORPORATION		\$1,981.49	
JEFFERIES & COMPANY INC		\$2,600.47	
JONES TRADING AND INVESTMENT SERVICES		\$924.58	
JP MORGAN SECURITIES LLC		\$7,704.83	
MORGAN STANLEY & COMPANY LLC		\$3,751.50	
NOMURA SECURITIES INTL INC		\$695.97	
RBC CAPITAL MARKETS LLC		\$2,755.95	
RUSSELL AND CO		\$150.83	
SANDLER O'NEIL & PARTNERS LP		\$854.78	
SANFORD C BERNSTEIN & CO INC		\$138.30	
STRATEGAS SECURITIES LLC		\$26.74	
WELLS FARGO SECURITIES LLC		\$902.35	

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS SGA INTERNATIONAL GROWTH FUND	BARCLAYS CAPITAL INC	\$531.73
	BOFA SECURITIES INC	\$6,516.28
	CITIGROUP GLOBAL MARKETS INC	\$2,216.47
	CREDIT SUISSE SECURITIES LLC	\$410.38
	EVERCORE GROUP LLC	\$3,330.36
	INSTINET CORPORATION	\$255.72
	JONES TRADING AND INVESTMENT SERVICES	\$2,938.58
	JP MORGAN SECURITIES LLC	\$1,031.84
	MORGAN STANLEY & COMPANY LLC	\$4,645.01
	NOMURA SECURITIES INTL INC	\$1,820.41
	RBC CAPITAL MARKETS LLC	\$3,943.30
	SG COWEN SEC CORP	\$4,618.32
VIRTUS SGA NEW LEADERS GROWTH FUND	BARCLAYS CAPITAL INC	\$45.88
	BOFA SECURITIES INC	\$49.37
	EVERCORE GROUP LLC	\$673.45
	JONES TRADING AND INVESTMENT SERVICES	\$423.47
	JP MORGAN SECURITIES LLC	\$78.11
	NOMURA SECURITIES INTL INC	\$146.44
	RBC CAPITAL MARKETS LLC	\$385.14
SG COWEN SEC CORP	\$1,620.71	
VIRTUS SILVANT FOCUSED GROWTH FUND	BAIRD ROBERT W & CO INC	\$2,685.41
	BARCLAYS CAPITAL INC	\$4,265.98
	BMO CAPITAL MARKETS	\$176.07
	BOFA SECURITIES INC	\$14,068.53
	CREDIT SUISSE SECURITIES LLC	\$8,358.71
	EVERCORE GROUP LLC	\$755.50
	GOLDMAN SACHS & CO	\$2,866.65
	ISI GROUP INC	\$4,657.89
	JEFFERIES & COMPANY INC	\$1,715.26
	JONES TRADING AND INVESTMENT SERVICES	\$1,276.06
	JP MORGAN SECURITIES LLC	\$13,401.38
	KEYBANC CAPITAL MARKETS	\$3,853.75
	LIQUIDNET INC	\$957.00
	MIZUHO SECURITIES CO	\$1,837.58
	MORGAN STANLEY & COMPANY LLC	\$8,741.40
	OPPENHEIMER & CO INC	\$1,648.48
	RAYMOND JAMES ASSOC INC	\$3,059.37
	RBC CAPITAL MARKETS LLC	\$8,985.00
	SANFORD C BERNSTEIN & CO INC	\$330.00
	SG COWEN SEC CORP	\$8,789.31
	STEPHENS INC	\$225.42
	STIFEL NICHOLAUS & CO INC	\$1,175.54
U S BANCORP PIPER JAFFRAY INC	\$3,766.96	
UBS SECURITIES LLC	\$9,164.35	
WELLS FARGO SECURITIES LLC	\$330.00	
VIRTUS SILVANT LARGE-CAP GROWTH FUND	BARCLAYS CAPITAL INC	\$532.51
	BOFA SECURITIES INC	\$61.12
	CITIGROUP GLOBAL MARKETS INC	\$409.76
	EVERCORE GROUP LLC	\$132.79
	JEFFERIES & COMPANY INC	\$187.26
	JP MORGAN SECURITIES LLC	\$721.02
	MORGAN STANLEY & COMPANY LLC	\$183.45
	OPPENHEIMER & CO INC	\$108.93
	RBC CAPITAL MARKETS LLC	\$116.47
	STIFEL NICHOLAUS & CO INC	\$347.20
	U S BANCORP PIPER JAFFRAY INC	\$107.91
	UBS SECURITIES LLC	\$250.60
	WELLS FARGO SECURITIES LLC	\$35.44

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS SILVANT MID-CAP GROWTH FUND	BAIRD ROBERT W & CO INC	\$825.00	
	BARCLAYS CAPITAL INC	\$6,071.09	
	BLAIR WILLIAM & COMPANY LLC	\$9,951.83	
	BMO CAPITAL MARKETS	\$2,283.16	
	BOFA SECURITIES INC	\$21,221.20	
	BTIG LLC	\$58.66	
	CITIGROUP GLOBAL MARKETS INC	\$16,939.24	
	CREDIT SUISSE SECURITIES LLC	\$11,715.70	
	EVERCORE GROUP LLC	\$2,579.38	
	GOLDMAN SACHS & CO	\$20,067.27	
	INSTINET CORPORATION	\$963.20	
	JEFFERIES & COMPANY INC	\$5,283.53	
	JONES TRADING AND INVESTMENT SERVICES	\$1,949.26	
	JP MORGAN SECURITIES LLC	\$2,626.61	
	KEYBANC CAPITAL MARKETS	\$6,288.44	
	LIQUIDNET INC	\$610.80	
	LUMINEX TRADING	\$464.14	
	MORGAN STANLEY & COMPANY LLC	\$3,908.83	
	ONEIL SECURITIES	\$3,633.76	
	OPPENHEIMER & CO INC	\$6,836.09	
	RAYMOND JAMES ASSOC INC	\$2,856.31	
	RBC CAPITAL MARKETS LLC	\$1,470.36	
	SG COWEN SEC CORP	\$1,872.42	
	STEPHENS INC	\$260.11	
	STIFEL NICHOLAUS & CO INC	\$3,766.76	
	SUSQUEHANNA FINANCIAL GROUP LLLP	\$200.10	
	U S BANCORP PIPER JAFFRAY INC	\$6,114.23	
	UBS SECURITIES LLC	\$9,413.27	
	WELLS FARGO SECURITIES LLC	\$2,369.67	
	VIRTUS SILVANT SMALL-CAP GROWTH FUND	BAIRD ROBERT W & CO INC	\$139.53
		BARCLAYS CAPITAL INC	\$2,070.57
		BOFA SECURITIES INC	\$1,406.16
		CITIGROUP GLOBAL MARKETS INC	\$81.05
EVERCORE GROUP LLC		\$283.71	
GOLDMAN SACHS & CO		\$116.39	
JEFFERIES & COMPANY INC		\$305.13	
JP MORGAN SECURITIES LLC		\$1,016.30	
KEEFE BRUYETTE & WOODS INC		\$99.96	
KEYBANC CAPITAL MARKETS		\$105.24	
MORGAN STANLEY & COMPANY LLC		\$38.18	
OPPENHEIMER & CO INC		\$295.98	
RAYMOND JAMES ASSOC INC		\$137.70	
RBC CAPITAL MARKETS LLC		\$137.37	
SANFORD C BERNSTEIN & CO INC		\$109.00	
SG COWEN SEC CORP		\$239.09	
STEPHENS INC		\$18.88	
STIFEL NICHOLAUS & CO INC		\$3,090.29	
U S BANCORP PIPER JAFFRAY INC		\$127.51	
UBS SECURITIES LLC		\$151.01	
WELLS FARGO SECURITIES LLC		\$257.83	
VIRTUS SMALL-CAP FUND	BAIRD ROBERT W & CO INC	\$936.22	
	BLAIR WILLIAM & COMPANY LLC	\$4,513.57	
	BMO CAPITAL MARKETS	\$667.36	
	BOFA SECURITIES INC	\$60,018.32	
	BTIG LLC	\$2,333.00	
	CITIGROUP GLOBAL MARKETS INC	\$9,573.82	
	CREDIT SUISSE SECURITIES LLC	\$8,889.96	
	EVERCORE GROUP LLC	\$35.44	
	GOLDMAN SACHS & CO	\$13,635.91	
	INSTINET CORPORATION	\$72.22	
	ISI GROUP INC	\$647.73	
	JEFFERIES & COMPANY INC	\$25,959.11	
	JONES TRADING AND INVESTMENT SERVICES	\$859.29	
	JP MORGAN SECURITIES LLC	\$2,138.77	
	KEYBANC CAPITAL MARKETS	\$93.72	
	LIQUIDNET INC	\$74.00	
	LUMINEX TRADING	\$862.09	
	MORGAN STANLEY & COMPANY LLC	\$12,557.93	
	ONEIL SECURITIES	\$522.66	
	OPPENHEIMER & CO INC	\$9,065.35	
	RAYMOND JAMES ASSOC INC	\$2,608.91	
	RBC CAPITAL MARKETS LLC	\$628.73	
	SG COWEN SEC CORP	\$696.30	
	STEPHENS INC	\$486.08	
	STIFEL NICHOLAUS & CO INC	\$1,077.66	
	STONEX FINANCIAL INC	\$1,001.22	
	U S BANCORP PIPER JAFFRAY INC	\$3,712.08	
	UBS SECURITIES LLC	\$9,824.81	
WELLS FARGO SECURITIES LLC	\$1,325.26		

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS TACTICAL ALLOCATION FUND	ABG SECURITIES	\$518.59
	AUERBACH GRAYSON AND CO INC	\$14,182.63
	BAIRD ROBERT W & CO INC	\$1,256.52
	BERENBERG BANK	\$4,636.87
	BLAIR WILLIAM & COMPANY LLC	\$3,135.12
	BOFA SECURITIES INC	\$6,696.73
	BTG PACTUAL	\$219.28
	CARNEGIE AB	\$1,082.82
	CITIGROUP GLOBAL MARKETS INC	\$505.15
	CLSA LTD	\$2,667.57
	CREDIT SUISSE SECURITIES LLC	\$781.97
	DAIWA CAPITAL MARKETS AMERICA INC	\$435.12
	DANSKE BANK	\$123.45
	GOLDMAN SACHS & CO	\$9,233.34
	HSBC SECURITIES INC	\$176.34
	ICHIYOSHI SECURITIES	\$33.52
	INSTINET CORPORATION	\$730.71
	ITAU UNIBANCO SA	\$1,112.30
	JEFFERIES & COMPANY INC	\$45.48
	JP MORGAN SECURITIES LLC	\$2,320.93
	KEPLER CAPITAL MARKET INC	\$38.66
	KOREA INVESTMENT & SECURITIES CO	\$163.19
	LIQUIDNET INC	\$17,761.19
	MACQUARIE CAPITAL (USA), INC	\$5,434.25
	MORGAN STANLEY & COMPANY LLC	\$3,427.10
	NEEDHAM & CO	\$7,153.48
	NUMIS SECURITIES LTD	\$8,508.70
	PEEL HUNT AND CO	\$543.82
	RAYMOND JAMES ASSOC INC	\$9,342.48
	RBC CAPITAL MARKETS LLC	\$1,264.48
	RENCAP SECURITIES INC	\$167.80
	SANFORD C BERNSTEIN & CO INC	\$12,866.41
	STIFEL NICHOLAUS & CO INC	\$1,047.08
	STRATEGAS SECURITIES LLC	\$3,864.60
	TRUIST BANK	\$1,227.84
	UBS SECURITIES LLC	\$7,440.71
VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUN	(blank)	\$448.63
	AUERBACH GRAYSON AND CO INC	\$16,362.17
	BANCO BRADESCO S A	\$8,627.52
	BARCLAYS CAPITAL INC	\$68,699.69
	BNP PARIBAS SECURITIES CORP	\$496.68
	BOFA SECURITIES INC	\$6,138.20
	BRADESCO SECURITIES INC	\$12,217.42
	BTG PACTUAL	\$12,711.80
	BTIG LLC	\$1,305.51
	CITIGROUP GLOBAL MARKETS INC	\$256,278.83
	CLSA LTD	\$566,833.87
	CREDIT LYONNAIS	\$112,646.31
	CREDIT SUISSE SECURITIES LLC	\$136,174.35
	DAIWA CAPITAL MARKETS AMERICA INC	\$32,475.51
	DEUTSCHE BANK	\$818.10
	DNB NOR BANK ASA	\$25,836.54
	EXANE INC	\$374.94
	HELVEA SA	\$2,055.24
	HSBC SECURITIES INC	\$62,425.69
	ITAU UNIBANCO SA	\$29,692.62
	JEFFERIES & COMPANY INC	\$148,376.55
	JP MORGAN SECURITIES LLC	\$227,671.19
	KEPLER CAPITAL MARKET INC	\$21,570.93
	LIQUIDNET INC	\$3,588.48
	MACQUARIE CAPITAL (USA), INC	\$199,946.80
	MORGAN STANLEY & COMPANY LLC	\$296,039.16
	PERSHING LLC	\$0.00
	SANFORD C BERNSTEIN & CO INC	\$428,425.47
	SG COWEN SEC CORP	\$39,312.62
	UBS SECURITIES LLC	\$274,550.86
	XP INVESTIMENTOS CCTVM SA	\$751.49

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION	
VIRTUS VONTOBEL FOREIGN OPPORTUNITIES FUND	AUERBACH GRAYSON AND CO INC	\$28,330.34	
	BARCLAYS CAPITAL INC	\$27,780.75	
	BNY DAVY SECS LTD	\$1,338.57	
	BTIG LLC	\$25.73	
	CITIGROUP GLOBAL MARKETS INC	\$776.05	
	CLSA LTD	\$11,468.42	
	CREDIT SUISSE SECURITIES LLC	\$13,987.20	
	DAIWA CAPITAL MARKETS AMERICA INC	\$33,243.77	
	DANSKE BANK	\$504.27	
	DAVY STOCKBROKERS	\$849.78	
	DNB NOR BANK ASA	\$394.02	
	EXANE INC	\$27,985.47	
	GOLDMAN SACHS & CO	\$105.93	
	HELVEA SA	\$2,470.38	
	HSBC SECURITIES INC	\$4,578.98	
	INVESTEC SECURITIES	\$13,756.47	
	JEFFERIES & COMPANY INC	\$94,497.97	
	JP MORGAN SECURITIES LLC	\$8,768.04	
	KEEFE BRUYETTE & WOODS INC	\$14,069.45	
	KEPLER CAPITAL MARKET INC	\$17,115.89	
	MACQUARIE CAPITAL (USA), INC	\$38,065.79	
	MORGAN STANLEY & COMPANY LLC	\$41,636.30	
	PERSHING LLC	\$8,864.09	
	REDBURN PARTNERS LLP	\$30,688.92	
	SANFORD C BERNSTEIN & CO INC	\$64,034.51	
	SG COWEN SEC CORP	\$11,246.07	
	UBS SECURITIES LLC	\$22,526.78	
	WINTERFLOOD SECURITIES LIMITED	\$26,962.78	
	VIRTUS VONTOBEL GLOBAL OPPORTUNITIES FUND	AUERBACH GRAYSON AND CO INC	\$1,461.65
		BARCLAYS CAPITAL INC	\$4,689.13
		BNP PARIBAS SECURITIES CORP	\$1,789.51
		BNY DAVY SECS LTD	\$762.88
		BOFA SECURITIES INC	\$1,090.85
		BTIG LLC	\$130.49
CITIGROUP GLOBAL MARKETS INC		\$7,874.19	
CLSA LTD		\$1,987.14	
CREDIT SUISSE SECURITIES LLC		\$2,555.63	
DAIWA CAPITAL MARKETS AMERICA INC		\$2,914.79	
DAVY STOCKBROKERS		\$411.58	
DNB NOR BANK ASA		\$69.81	
EXANE INC		\$2,506.62	
GOLDMAN SACHS & CO		\$23.35	
HELVEA SA		\$223.63	
HSBC SECURITIES INC		\$3,855.39	
JEFFERIES & COMPANY INC		\$12,245.94	
JP MORGAN SECURITIES LLC		\$8,962.01	
KCG		\$0.00	
KEEFE BRUYETTE & WOODS INC		\$2,051.82	
KEPLER CAPITAL MARKET INC		\$1,935.66	
MACQUARIE CAPITAL (USA), INC		\$6,912.07	
MORGAN STANLEY & COMPANY LLC		\$10,125.59	
PERSHING LLC		\$431.42	
REDBURN PARTNERS LLP		\$2,772.11	
SANFORD C BERNSTEIN & CO INC		\$7,971.52	
SG COWEN SEC CORP		\$82.39	
UBS SECURITIES LLC		\$9,573.00	
WINTERFLOOD SECURITIES LIMITED		\$250.08	
VIRTUS VONTOBEL GREATER EUROPEAN OPPORTUNITIES FUN		AUERBACH GRAYSON AND CO INC	\$192.80
		BARCLAYS CAPITAL INC	\$0.04
		BTIG LLC	\$6.13
		CITIGROUP GLOBAL MARKETS INC	\$1.66
		CLSA LTD	\$1.36
	EUROMOBILIARE SIM SPA	\$75.75	
	EXANE INC	\$43.81	
	JEFFERIES & COMPANY INC	\$462.40	
	KEPLER CAPITAL MARKET INC	\$163.01	
	MACQUARIE CAPITAL (USA), INC	\$804.83	
	MORGAN STANLEY & COMPANY LLC	\$156.72	
	PERSHING LLC	\$1.13	
	REDBURN PARTNERS LLP	\$261.01	
	SANFORD C BERNSTEIN & CO INC	\$418.18	
UBS SECURITIES LLC	\$17.62		

FUND NAME	BROKER NAME	TOTAL SOFT DOLLAR AND RESEARCH COMPENSATION
VIRTUS WESTCHESTER CREDIT EVENT FUND	HILLTOP SECURITIES INC	\$106.23
	INTERSTATE GROUP DIV. HILLTOP SECURITIES	\$87.51
VIRTUS WESTCHESTER EVENT DRIVEN FUND	HILLTOP SECURITIES INC	\$176,762.52
	INTERSTATE GROUP DIV. HILLTOP SECURITIES	\$48,827.89
VIRTUS ZEVENBERGEN INNOVATIVE GROWTH STOCK FUND	BAIRD ROBERT W & CO INC	\$3,406.00
	BARCLAYS CAPITAL INC	\$220.00
	BLAIR WILLIAM & COMPANY LLC	\$1,932.00
	CANACCORD GENUITY GROUP INC	\$602.00
	CREDIT SUISSE SECURITIES LLC	\$213.00
	EVERCORE GROUP LLC	\$21,569.99
	GOLDMAN SACHS & CO	\$8,515.33
	JEFFERIES & COMPANY INC	\$1,790.60
	JMP SECURITIES	\$3,673.50
	JP MORGAN SECURITIES LLC	\$4,264.38
	KEYBANC CAPITAL MARKETS	\$6,181.50
	LOOP CAPITAL MARKETS LLC	\$1,019.25
	MORGAN STANLEY & COMPANY LLC	\$6,602.00
	NEEDHAM & CO	\$3,854.00
	RAYMOND JAMES ASSOC INC	\$3,780.00
	RBC CAPITAL MARKETS LLC	\$5,086.43
	SG COWEN SEC CORP	\$6,522.03
	STIFEL NICHOLAUS & CO INC	\$3,907.00
	U S BANCORP PIPER JAFFRAY INC	\$8,033.10
VIRTUS ZEVENBERGEN TECHNOLOGY FUND	BAIRD ROBERT W & CO INC	\$7,074.26
	BARCLAYS CAPITAL INC	\$2,817.18
	BLAIR WILLIAM & COMPANY LLC	\$5,210.56
	BMO CAPITAL MARKETS	\$32,187.55
	BOFA SECURITIES INC	\$81,810.73
	CANACCORD GENUITY GROUP INC	\$2,202.40
	CITIGROUP GLOBAL MARKETS INC	\$53,270.57
	CREDIT SUISSE SECURITIES LLC	\$29,925.29
	EVERCORE GROUP LLC	\$8,655.50
	EXANE INC	\$806.41
	GOLDMAN SACHS & CO	\$21,830.24
	HSBC SECURITIES INC	\$2,201.57
	INSTINET CORPORATION	\$2,225.43
	ISI GROUP INC	\$21,857.45
	JEFFERIES & COMPANY INC	\$68,433.07
	JP MORGAN SECURITIES LLC	\$50,583.70
	KB SECURITIES CO LTD	\$2,159.82
	KEYBANC CAPITAL MARKETS	\$50,697.67
	MITSUBISHI UFJ SECURITIES INC	\$2,191.25
	MIZUHO SECURITIES CO	\$19,539.32
	MORGAN STANLEY & COMPANY LLC	\$33,734.10
	OPPENHEIMER & CO INC	\$351.16
	RAYMOND JAMES ASSOC INC	\$12,163.32
	RBC CAPITAL MARKETS LLC	\$6,805.39
	SANFORD C BERNSTEIN & CO INC	\$574.97
	SG COWEN SEC CORP	\$47,725.61
	SOCIETE GENERALE	\$1,065.94
	STIFEL NICHOLAUS & CO INC	\$19,943.60
	SUSQUEHANNA FINANCIAL GROUP LLLP	\$2,648.26
	U S BANCORP PIPER JAFFRAY INC	\$38,886.30
	UBS SECURITIES LLC	\$8,322.41
WELLS FARGO SECURITIES LLC	\$1,581.39	

Reporting Entity EIN & CUSIP Information

REPORTING ENTITY NAME	EIN NUMBER
FUND ADVISER AND SUBADVISERS (AS APPLICABLE) & DISTRIBUTOR	
VIRTUS ALTERNATIVE INVESTMENT ADVISERS, INC.	20-8924509
VIRTUS FUND ADVISERS, LLC	58-1604573
VIRTUS FUND SERVICES, LLC	46-1291612
VIRTUS INVESTMENT ADVISERS, INC.	04-2453743
VIRTUS RETIREMENT INVESTMENT ADVISERS, LLC	47-5152667
VP DISTRIBUTORS, LLC	06-0847856
VONTOBEL ASSET MANAGEMENT INC	13-3223264
DUFF & PHELPS INVESTEMENT MANAGEMENT CO	36-3027981
SUSTAINABLE GROWTH ADVISERS (SGA)	05-0571266
VIRTUS PARTNERS, INC	95-4191764
DISREGARDED ENTITIES UNDER VIRTUS PARNTERS, INC	
CEREDEX VALUE ADVISORS LLC	
KAYNE ANDERSON RUDNICK INVESTMENT MANAGEMENT LLC	
VIRTUS FIXED INCOME ADVISERS, LLC	
NFJ INVESTMENT GROUP LLC	
SILVANT CAPITAL MANAGEMENT LLC	
WESTCHESTER CAPITAL MANAGEMENT, LLC	

Reporting Entity EIN & CUSIP Information Continued

Fund Name	Cusip	EIN
The Merger Fund® - Class A	589509108	14-1698547
The Merger Fund® - Class I	589509207	14-1698547
Virtus Ceredex Large-Cap Value Equity Fund - Class A	92837F524	23-2704456
Virtus Ceredex Large-Cap Value Equity Fund - Class C	92837F516	23-2704456
Virtus Ceredex Large-Cap Value Equity Fund - Class I	92837F490	23-2704456
Virtus Ceredex Large-Cap Value Equity Fund - Class R6	92837F482	23-2704456
Virtus Ceredex Mid-Cap Value Equity Fund - Class A	92837F474	23-3095663
Virtus Ceredex Mid-Cap Value Equity Fund - Class C	92837F466	23-3095663
Virtus Ceredex Mid-Cap Value Equity Fund - Class I	92837F458	23-3095663
Virtus Ceredex Mid-Cap Value Equity Fund - Class R6	92837F441	23-3095663
Virtus Ceredex Small-Cap Value Equity Fund - Class A	92837F433	23-2867183
Virtus Ceredex Small-Cap Value Equity Fund - Class C	92837F425	23-2867183
Virtus Ceredex Small-Cap Value Equity Fund - Class I	92837F417	23-2867183
Virtus Ceredex Small-Cap Value Equity Fund - Class R6	92837X665	23-2867183
Virtus Convertible Fund - Class A	92838V791	33-0850537
Virtus Convertible Fund - Class Admin	92838V841	33-0850537
Virtus Convertible Fund - Class C	92838V817	33-0850537
Virtus Convertible Fund - Class Inst	92838V833	33-0850537
Virtus Convertible Fund - Class P	92838V106	33-0850537
Virtus Convertible Fund - Class R6	92838V353	33-0850537
Virtus Core Plus Bond Fund - Class Inst	92838V437	82-3970351
Virtus Core Plus Bond Fund - Class P	92838V452	82-3970351
Virtus Core Plus Bond Fund - Class R6	92838V445	82-3970351
Virtus Duff & Phelps Global Real Estate Securities Fund - Class A	92828R412	38-3795774
Virtus Duff & Phelps Global Real Estate Securities Fund - Class C	92828R396	38-3795774
Virtus Duff & Phelps Global Real Estate Securities Fund - Class I	92828R388	38-3795774
Virtus Duff & Phelps Global Real Estate Securities Fund - Class R6	92828W239	38-3795774
Virtus Duff & Phelps Global Infrastructure Fund - Class A	92828R826	51-0529376
Virtus Duff & Phelps Global Infrastructure Fund - Class C	92828R818	51-0529376
Virtus Duff & Phelps Global Infrastructure Fund - Class I	92828R792	51-0529376
Virtus Duff & Phelps Global Infrastructure Fund - Class R6	92828W221	51-0529376
Virtus Duff & Phelps International Real Estate Securities Fund - Class A	92828R750	56-2670681
Virtus Duff & Phelps International Real Estate Securities Fund - Class C	92828R743	56-2670681
Virtus Duff & Phelps International Real Estate Securities Fund - Class I	92828R735	56-2670681
Virtus Duff & Phelps Real Asset Fund - Class A	92828R875	65-1263085
Virtus Duff & Phelps Real Asset Fund - Class C	92828R867	65-1263085
Virtus Duff & Phelps Real Asset Fund - Class I	92828R313	65-1263085
Virtus Duff & Phelps Real Asset Fund - Class R6	92828Y623	65-1263085

Fund Name	Cusip	EIN
Virtus Duff & Phelps Real Estate Securities Fund - Class A	92828R586	04-3264790
Virtus Duff & Phelps Real Estate Securities Fund - Class C	92828R560	04-3264790
Virtus Duff & Phelps Real Estate Securities Fund - Class I	92828R552	04-3264790
Virtus Duff & Phelps Real Estate Securities Fund - Class R6	92828W528	04-3264790
Virtus Duff & Phelps Select MLP and Energy Fund - Class A	92835M729	47-4528978
Virtus Duff & Phelps Select MLP and Energy Fund - Class C	92835M711	47-4528978
Virtus Duff & Phelps Select MLP and Energy Fund - Class I	92835M695	47-4528978
Virtus Duff & Phelps Water Fund - Class A	92838V494	37-1559340
Virtus Duff & Phelps Water Fund - Class C	92838V486	37-1559340
Virtus Duff & Phelps Water Fund - Class Inst	92838V460	37-1559340
Virtus Duff & Phelps Water Fund - Class P	92838V478	37-1559340
Virtus Emerging Markets Opportunities Fund - Class A	92837N808	26-0083580
Virtus Emerging Markets Opportunities Fund - Class C	92837N881	26-0083580
Virtus Emerging Markets Opportunities Fund - Class Inst	92837N840	26-0083580
Virtus Emerging Markets Opportunities Fund - Class P	92837N857	26-0083580
Virtus Emerging Markets Opportunities Fund - Class R6	92837N865	26-0083580
Virtus FORT Trend Fund - Class A	92828R255	32-0311755
Virtus FORT Trend Fund - Class C	92828R248	32-0311755
Virtus FORT Trend Fund - Class I	92828R230	32-0311755
Virtus FORT Trend Fund - Class R6	92828W536	32-0311755
Virtus Global Allocation Fund - Class A	92838V767	33-0821368
Virtus Global Allocation Fund - Class Admin	92838V742	33-0821368
Virtus Global Allocation Fund - Class C	92838V775	33-0821368
Virtus Global Allocation Fund - Class Inst	92838V759	33-0821368
Virtus Global Allocation Fund - Class P	92838V726	33-0821368
Virtus Global Allocation Fund - Class R6	92838V551	33-0821368
Virtus Global Dynamic Allocation Fund - Class Admin	92838V692	61-1589926
Virtus Global Dynamic Allocation Fund - Class Inst	92838V684	61-1589926
Virtus Global Dynamic Allocation Fund - Class R6	92838V569	61-1589926
Virtus Global Dynamic Allocation Fund - Class A	92838V783	61-1589926
Virtus Global Dynamic Allocation Fund - Class C	92838V718	61-1589926
Virtus Global Dynamic Allocation Fund - Class P	92838V668	61-1589926
Virtus Income & Growth Fund - Class A	92837N584	61-1521367
Virtus Income & Growth Fund - Class C	92837N576	61-1521367
Virtus Income & Growth Fund - Class Inst	92837N535	61-1521367
Virtus Income & Growth Fund - Class P	92837N543	61-1521367
Virtus International Small-Cap Fund - Class A	92838V601	33-0850519
Virtus International Small-Cap Fund - Class C	92838V502	33-0850519
Virtus International Small-Cap Fund - Class Inst	92838V205	33-0850519
Virtus International Small-Cap Fund - Class P	92838V304	33-0850519
Virtus International Small-Cap Fund - Class R6	92838V577	33-0850519

Fund Name	Cusip	EIN
Virtus KAR Capital Growth Fund - Class A	92828N510	04-3288599
Virtus KAR Capital Growth Fund - Class C	92828N486	04-3288599
Virtus KAR Capital Growth Fund - Class I	92828N478	04-3288599
Virtus KAR Capital Growth Fund - Class R6	92828N270	04-3288599
Virtus KAR Developing Markets Fund - Class A	92828Y664	86-3274736
Virtus KAR Developing Markets Fund - Class C	92828Y656	86-3274736
Virtus KAR Developing Markets Fund - Class I	92828Y649	86-3274736
Virtus KAR Developing Markets Fund - Class R6	92828Y631	86-3274736
Virtus KAR Emerging Markets Small-Cap Fund - Class A	92828W627	46-3846976
Virtus KAR Emerging Markets Small-Cap Fund - Class C	92828W619	46-3846976
Virtus KAR Emerging Markets Small-Cap Fund - Class I	92828W593	46-3846976
Virtus KAR Emerging Markets Small-Cap Fund - Class R6	92828W189	46-3846976
Virtus KAR Equity Income Fund - Class A	92828N874	04-3380715
Virtus KAR Equity Income Fund - Class C	92828N858	04-3380715
Virtus KAR Equity Income Fund - Class I	92828N841	04-3380715
Virtus KAR Equity Income Fund - Class R6	92828N239	04-3380715
Virtus KAR Global Quality Dividend Fund - Class A	92828N460	59-3808138
Virtus KAR Global Quality Dividend Fund - Class C	92828N452	59-3808138
Virtus KAR Global Quality Dividend Fund - Class I	92828N445	59-3808138
Virtus KAR Global Quality Dividend Fund - Class R6	92836N874	59-3808138
Virtus KAR Global Small-Cap Fund - Class A	92837N741	94-3256061
Virtus KAR Global Small-Cap Fund - Class C	92837N733	94-3256061
Virtus KAR Global Small-Cap Fund - Class Inst	92837N683	94-3256061
Virtus KAR Global Small-Cap Fund - Class P	92837N691	94-3256061
Virtus KAR Health Sciences Fund - Class A	92837N667	94-3256060
Virtus KAR Health Sciences Fund - Class C	92837N659	94-3256060
Virtus KAR Health Sciences Fund - Class Inst	92837N618	94-3256060
Virtus KAR Health Sciences Fund - Class P	92837N626	94-3256060
Virtus KAR International Small-Mid Cap Fund - Class A	92828W841	46-0543862
Virtus KAR International Small-Mid Cap Fund - Class C	92828W833	46-0543862
Virtus KAR International Small-Mid Cap Fund - Class I	92828W825	46-0543862
Virtus KAR International Small-Mid Cap Fund - Class R6	92828W551	46-0543862
Virtus KAR Long/Short Equity Fund- Class A	92835M539	83-2199967
Virtus KAR Long/Short Equity Fund - Class C	92835M521	83-2199967
Virtus KAR Long/Short Equity Fund - Class I	92835M513	83-2199967
Virtus KAR Long/Short Equity Fund - Class R6	92835M497	83-2199967
Virtus KAR Mid-Cap Core Fund - Class A	92828N114	30-0560712
Virtus KAR Mid-Cap Core Fund - Class C	92828N122	30-0560712
Virtus KAR Mid-Cap Core Fund - Class I	92828N130	30-0560712
Virtus KAR Mid-Cap Core Fund - Class R6	92828N262	30-0560712

Fund Name	Cusip	EIN
Virtus KAR Mid-Cap Growth Fund - Class A	92828N767	04-2987666
Virtus KAR Mid-Cap Growth Fund - Class C	92828N742	04-2987666
Virtus KAR Mid-Cap Growth Fund - Class I	92828N734	04-2987666
Virtus KAR Mid-Cap Growth Fund - Class R6	92828N254	04-2987666
Virtus KAR Small-Cap Core Fund - Class A	92828N551	95-7015400
Virtus KAR Small-Cap Core Fund - Class C	92828N536	95-7015400
Virtus KAR Small-Cap Core Fund - Class I	92828N528	95-7015400
Virtus KAR Small-Cap Core Fund - Class R6	92828N429	95-7015400
Virtus KAR Small-Cap Growth Fund - Class A	92828N627	14-1964055
Virtus KAR Small-Cap Growth Fund - Class C	92828N619	14-1964055
Virtus KAR Small-Cap Growth Fund - Class I	92828N593	14-1964055
Virtus KAR Small-Cap Growth Fund - Class R6	92828N247	14-1964055
Virtus KAR Small-Cap Value Fund - Class A	92828N684	14-1964051
Virtus KAR Small-Cap Value Fund - Class C	92828N676	14-1964051
Virtus KAR Small-Cap Value Fund - Class I	92828N668	14-1964051
Virtus KAR Small-Cap Value Fund - Class R6	92828N411	14-1964051
Virtus KAR Small-Mid Cap Core Fund - Class A	92828N221	82-4416577
Virtus KAR Small-Mid Cap Core Fund - Class C	92828N213	82-4416577
Virtus KAR Small-Mid Cap Core Fund - Class I	92828N197	82-4416577
Virtus KAR Small-Mid Cap Core Fund - Class R6	92828N189	82-4416577
Virtus KAR Small-Mid Cap Growth Fund - Class A	92836N817	85-3301007
Virtus KAR Small-Mid Cap Growth Fund - Class C	92836N791	85-3301007
Virtus KAR Small-Mid Cap Growth Fund - Class I	92836N783	85-3301007
Virtus KAR Small-Mid Cap Growth Fund - Class R6	92836N775	85-3301007
Virtus KAR Small-Mid Cap Value Fund - Class A	92836N767	87-1031570
Virtus KAR Small-Mid Cap Value Fund - Class C	92836N759	87-1031570
Virtus KAR Small-Mid Cap Value Fund - Class I	92836N742	87-1031570
Virtus KAR Small-Mid Cap Value Fund - Class R6	92836N734	87-1031570
Virtus Newfleet Core Plus Bond Fund - Class A	92828R107	94-6691953
Virtus Newfleet Core Plus Bond Fund - Class C	92828R305	94-6691953
Virtus Newfleet Core Plus Bond Fund - Class I	92828R404	94-6691953
Virtus Newfleet Core Plus Bond Fund - Class R6	92828W270	94-6691953
Virtus Newfleet High Yield Fund - Class A	92828R784	04-2987667
Virtus Newfleet High Yield Fund - Class C	92828R768	04-2987667
Virtus Newfleet High Yield Fund - Class I	92828W767	04-2987667
Virtus Newfleet High Yield Fund - Class R6	92828W262	04-2987667
Virtus Newfleet Low Duration Core Plus Bond Fund - Class A	92828W346	51-0332759
Virtus Newfleet Low Duration Core Plus Bond Fund - Class C	92828W338	51-0332759
Virtus Newfleet Low Duration Core Plus Bond Fund - Class I	92828W320	51-0332759
Virtus Newfleet Low Duration Core Plus Bond Fund - Class R6	92828W197	51-0332759
Virtus Newfleet Multi-Sector Short Term Bond Fund - Class A	92828R644	22-3164565
Virtus Newfleet Multi-Sector Short Term Bond Fund - Class C	92828R628	22-3164565
Virtus Newfleet Multi-Sector Short Term Bond Fund - Class C1	92828R594	22-3164565
Virtus Newfleet Multi-Sector Short Term Bond Fund - Class I	92828R610	22-3164565
Virtus Newfleet Multi-Sector Short Term Bond Fund - Class R6	92828W247	22-3164565

Fund Name	Cusip	EIN
Virtus Newfleet Multi-Sector Intermediate Bond Fund - Class A	92828R677	13-3543533
Virtus Newfleet Multi-Sector Intermediate Bond Fund - Class C	92828R651	13-3543533
Virtus Newfleet Multi-Sector Intermediate Bond Fund - Class I	92828R289	13-3543533
Virtus Newfleet Multi-Sector Intermediate Bond Fund - Class R6	92828W544	13-3543533
Virtus Newfleet Senior Floating Rate Fund - Class A	92828R545	61-1549332
Virtus Newfleet Senior Floating Rate Fund - Class C	92828R537	61-1549332
Virtus Newfleet Senior Floating Rate Fund - Class I	92828R529	61-1549332
Virtus Newfleet Senior Floating Rate Fund - Class R6	92828W254	61-1549332
Virtus Newfleet Short Duration High Income Fund - Class Inst	92838V510	45-3154059
Virtus Newfleet Short Duration High Income Fund - Class A	92838V544	45-3154059
Virtus Newfleet Short Duration High Income Fund - Class C	92838V536	45-3154059
Virtus Newfleet Short Duration High Income Fund - Class P	92838V528	45-3154059
Virtus Newfleet Short Duration High Income Fund - Class R6	92838V643	45-3154059
Virtus NFJ Dividend Value Fund - Class A	92837N105	33-0902855
Virtus NFJ Dividend Value Fund - Class Admin	92837N709	33-0902855
Virtus NFJ Dividend Value Fund - Class C	92837N204	33-0902855
Virtus NFJ Dividend Value Fund - Class Inst	92837N600	33-0902855
Virtus NFJ Dividend Value Fund - Class P	92837N501	33-0902855
Virtus NFJ Dividend Value Fund - Class R6	92837N402	33-0902855
Virtus NFJ Emerging Markets Value Fund - Class A	92838V395	46-1252437
Virtus NFJ Emerging Markets Value Fund - Class C	92838V387	46-1252437
Virtus NFJ Emerging Markets Value Fund - Class Inst	92838V361	46-1252437
Virtus NFJ Emerging Markets Value Fund - Class P	92838V379	46-1252437
Virtus NFJ Global Sustainability Fund - Class A	92838V650	47-1677393
Virtus NFJ Global Sustainability Fund - Class Inst	92838V627	47-1677393
Virtus NFJ Global Sustainability Fund - Class P	92838V635	47-1677393
Virtus NFJ International Value Fund - Class A	92837N519	47-0887613
Virtus NFJ International Value Fund - Class Admin	92837N444	47-0887613
Virtus NFJ International Value Fund - Class C	92837N493	47-0887613
Virtus NFJ International Value Fund - Class Inst	92837N451	47-0887613
Virtus NFJ International Value Fund - Class P	92837N469	47-0887613
Virtus NFJ International Value Fund - Class R6	92837N477	47-0887613

Fund Name	Cusip	EIN
Virtus NFJ Large-Cap Value Fund - Class A	92837N436	33-0902856
Virtus NFJ Large-Cap Value Fund - Class Admin	92837N360	33-0902856
Virtus NFJ Large-Cap Value Fund - Class C	92837N428	33-0902856
Virtus NFJ Large-Cap Value Fund - Class Inst	92837N378	33-0902856
Virtus NFJ Large-Cap Value Fund - Class P	92837N386	33-0902856
Virtus NFJ Large-Cap Value Fund - Class R6	92837Q777	33-0902856
Virtus NFJ Mid-Cap Value Fund - Class A	92837N279	13-3444717
Virtus NFJ Mid-Cap Value Fund - Class Admin	92837N212	13-3444717
Virtus NFJ Mid-Cap Value Fund - Class C	92837N261	13-3444717
Virtus NFJ Mid-Cap Value Fund - Class Inst	92837N220	13-3444717
Virtus NFJ Mid-Cap Value Fund - Class P	92837N238	13-3444717
Virtus NFJ Mid-Cap Value Fund - Class R6	92837N246	13-3444717
Virtus NFJ Small-Cap Value Fund - Class A	92837Q108	33-0434038
Virtus NFJ Small-Cap Value Fund - Class Admin	92837Q702	33-0434038
Virtus NFJ Small-Cap Value Fund - Class C	92837Q207	33-0434038
Virtus NFJ Small-Cap Value Fund - Class Inst	92837Q603	33-0434038
Virtus NFJ Small-Cap Value Fund - Class P	92837Q504	33-0434038
Virtus NFJ Small-Cap Value Fund - Class R6	92837Q405	33-0434038
Virtus Preferred Securities and Income Fund - Class Inst	92838V619	82-3986866
Virtus Preferred Securities and Income Fund - Class P	92838V593	82-3986866
Virtus Preferred Securities and Income Fund - Class R6	92838V585	82-3986866
Virtus Seix Core Bond Fund - Class A	92837F102	23-2678682
Virtus Seix Core Bond Fund - Class I	92837F300	23-2678682
Virtus Seix Core Bond Fund - Class R6	92837F409	23-2678682
Virtus Seix Corporate Bond Fund - Class A	92837F508	23-3095664
Virtus Seix Corporate Bond Fund - Class C	92837F607	23-3095664
Virtus Seix Corporate Bond Fund - Class I	92837F706	23-3095664
Virtus Seix Corporate Bond Fund - Class R6	92837X657	23-3095664
Virtus Seix Floating Rate High Income Fund - Class A	92837F789	20-4257465
Virtus Seix Floating Rate High Income Fund - Class C	92837F771	20-4257465
Virtus Seix Floating Rate High Income Fund - Class I	92837F763	20-4257465
Virtus Seix Floating Rate High Income Fund - Class R6	92837F755	20-4257465
Virtus Seix High Grade Municipal Bond Fund - Class A	92837F631	23-2749909
Virtus Seix High Grade Municipal Bond Fund - Class I	92837F623	23-2749909
Virtus Seix High Income Fund - Class A	92837F748	13-3742863
Virtus Seix High Income Fund - Class I	92837F722	13-3742863
Virtus Seix High Income Fund - Class R6	92837F714	13-3742863
Virtus Seix High Yield Bond Fund - Class A	92838V858	33-0850543
Virtus Seix High Yield Bond Fund - Class Admin	92838V809	33-0850543
Virtus Seix High Yield Bond Fund - Class C	92838V866	33-0850543
Virtus Seix High Yield Bond Fund - Class Inst	92838V700	33-0850543
Virtus Seix High Yield Bond Fund - Class P	92838V882	33-0850543

Fund Name	Cusip	EIN
Virtus Seix High Yield Fund - Class A	92837F698	04-3541828
Virtus Seix High Yield Fund - Class I	92837F672	04-3541828
Virtus Seix High Yield Fund - Class R6	92837F664	04-3541828
Virtus Seix Investment Grade Tax-Exempt Bond Fund - Class A	92837F615	23-2678691
Virtus Seix Investment Grade Tax-Exempt Bond Fund - Class I	92837F599	23-2678691
Virtus Seix Short Term Bond Fund - Class A	92837F854	23-2711275
Virtus Seix Short Term Bond Fund - Class C	92837F847	23-2711275
Virtus Seix Short Term Bond Fund - Class I	92837F839	23-2711275
Virtus Seix Short Term Municipal Bond Fund - Class A	92837F565	23-2833186
Virtus Seix Short Term Municipal Bond Fund - Class I	92837F557	23-2833186
Virtus Seix Tax Exempt Bond Fund - Class A	92828W312	52-1957790
Virtus Seix Tax Exempt Bond Fund - Class C	92828W296	52-1957790
Virtus Seix Tax Exempt Bond Fund - Class I	92828W288	52-1957790
Virtus Seix Total Return Bond Fund - Class A	92837F805	13-3963387
Virtus Seix Total Return Bond Fund - Class I	92837F870	13-3963387
Virtus Seix Total Return Bond Fund - Class R6	92837F862	13-3963387
Virtus Seix Ultra Short Bond Fund - Class A	92837X673	45-0468404
Virtus Seix Ultra Short Bond Fund - Class I	92837F797	45-0468404
Virtus Seix US Gov Securities Ultra Short Bond Fund - Class A	92837X681	45-0468395
Virtus Seix US Gov Securities Ultra Short Bond Fund - Class I	92837F821	45-0468395
Virtus Seix US Gov Securities Ultra Short Bond Fund - Class R6	92837F813	45-0468395
Virtus Seix U.S. Mortgage Fund - Class A	92837F185	23-2760016
Virtus Seix U.S. Mortgage Fund - Class C	92837F177	23-2760016
Virtus Seix U.S. Mortgage Fund - Class I	92837F169	23-2760016
Virtus SGA Emerging Markets Growth Fund - Class A	92836N601	83-4374006
Virtus SGA Emerging Markets Growth Fund - Class C	92836N700	83-4374006
Virtus SGA Emerging Markets Growth Fund - Class I	92836N809	83-4374006
Virtus SGA Emerging Markets Growth Fund - Class R6	92836N882	83-4374006
Virtus SGA Global Growth Fund - Class A	92836N106	27-3800477
Virtus SGA Global Growth Fund - Class C	92836N205	27-3800477
Virtus SGA Global Growth Fund - Class I	92836N304	27-3800477
Virtus SGA Global Growth Fund - Class R6	92836N403	27-3800477
Virtus SGA International Growth Fund - Class A	92837F284	23-2822965
Virtus SGA International Growth Fund - Class I	92837F276	23-2822965
Virtus SGA International Growth Fund - Class R6	92837F268	23-2822965
Virtus SGA New Leaders Growth Fund - Class A	92836N858	85-3077944
Virtus SGA New Leaders Growth Fund - Class C	92836N841	85-3077944
Virtus SGA New Leaders Growth Fund - Class I	92836N833	85-3077944
Virtus SGA New Leaders Growth Fund - Class R6	92836N825	85-3077944

Fund Name	Cusip	EIN
Virtus Silvant Focused Growth Fund - Class A	92837N824	13-6833501
Virtus Silvant Focused Growth Fund - Class Admin	92837N758	13-6833501
Virtus Silvant Focused Growth Fund - Class C	92837N816	13-6833501
Virtus Silvant Focused Growth Fund - Class Inst	92837N766	13-6833501
Virtus Silvant Focused Growth Fund - Class P	92837N774	13-6833501
Virtus Silvant Focused Growth Fund - Class R6	92837N782	13-6833501
Virtus Silvant Large-Cap Growth Stock Fund - Class A	92837F391	23-2678693
Virtus Silvant Large-Cap Growth Stock Fund - Class I	92837F375	23-2678693
Virtus Silvant Large-Cap Growth Stock Fund - Class R6	92837F367	23-2678693
Virtus Silvant Mid-Cap Growth Fund - Class A	92837N352	94-2564439
Virtus Silvant Mid-Cap Growth Fund - Class Admin	92837N287	94-2564439
Virtus Silvant Mid-Cap Growth Fund - Class C	92837N345	94-2564439
Virtus Silvant Mid-Cap Growth Fund - Class Inst	92837N295	94-2564439
Virtus Silvant Mid-Cap Growth Fund - Class P	92837N311	94-2564439
Virtus Silvant Small-Cap Growth Stock Fund - Class A	92837F359	23-2970711
Virtus Silvant Small-Cap Growth Stock Fund - Class I	92837F334	23-2970711
Virtus Small-Cap Fund - Class A	92837N196	46-2583698
Virtus Small-Cap Fund - Class C	92837N188	46-2583698
Virtus Small-Cap Fund - Class Inst	92837N147	46-2583698
Virtus Small-Cap Fund - Class P	92837N154	46-2583698
Virtus Small-Cap Fund - Class R6	92837N162	46-2583698
Virtus Stone Harbor Emerging Market Bond Fund - Class A	92828Y615	46-2680588
Virtus Stone Harbor Emerging Market Bond Fund - Class I	92828Y599	46-2680588
Virtus Stone Harbor Emerging Market Debt Allocation Fund - Class A	92828Y581	47-1789993
Virtus Stone Harbor Emerging Market Debt Allocation Fund - Class I	92828Y573	47-1789993
Virtus Stone Harbor Emerging Market Debt Income Fund - Class A	92828Y565	26-0316509
Virtus Stone Harbor Emerging Market Debt Income Fund - Class I	92828Y557	26-0316509
Virtus Stone Harbor High Yield Bond Fund - Class A	92828Y540	26-0316472
Virtus Stone Harbor High Yield Bond Fund - Class I	92828Y532	26-0316472
Virtus Stone Harbor Local Markets Fund - Class A	92828Y524	27-2341425
Virtus Stone Harbor Local Markets Fund - Class I	92828Y516	27-2341425
Virtus Stone Harbor Strategic Income Fund - Class A	92828Y490	46-2680588
Virtus Stone Harbor Strategic Income Fund - Class I	92828Y482	46-2680588
Virtus Tactical Allocation Fund - Class A	92828N791	13-6022076
Virtus Tactical Allocation Fund - Class C	92828N775	13-6022076
Virtus Tactical Allocation Fund - Class I	92836N502	13-6022076
Virtus Tactical Allocation Fund - Class R6	92836N866	13-6022076

Fund Name	Cusip	EIN
Virtus Vontobel Emerging Markets Opportunities Fund - Class A	92828W387	04-3384956
Virtus Vontobel Emerging Markets Opportunities Fund - Class C	92828W379	04-3384956
Virtus Vontobel Emerging Markets Opportunities Fund - Class I	92828W361	04-3384956
Virtus Vontobel Emerging Markets Opportunities Fund - Class R6	92828W353	04-3384956
Virtus Vontobel Foreign Opportunities Fund - Class A	92828R859	33-1070585
Virtus Vontobel Foreign Opportunities Fund - Class C	92828R842	33-1070585
Virtus Vontobel Foreign Opportunities Fund - Class I	92828R834	33-1070585
Virtus Vontobel Foreign Opportunities Fund - Class R6	92828W569	33-1070585
Virtus Vontobel Global Opportunities Fund - Class A	92828R446	13-6066130
Virtus Vontobel Global Opportunities Fund - Class C	92828R420	13-6066130
Virtus Vontobel Global Opportunities Fund - Class I	92828W775	13-6066130
Virtus Vontobel Global Opportunities Fund - Class R6	92828W213	13-6066130
Virtus Vontobel Greater European Opportunities Fund - Class A	92828R347	38-3795775
Virtus Vontobel Greater European Opportunities Fund - Class C	92828R339	38-3795775
Virtus Vontobel Greater European Opportunities Fund - Class I	92828R321	38-3795775
Virtus Westchester Credit Event Fund - Class A	95737C707	82-2755349
Virtus Westchester Credit Event Fund - Class I	95737C806	82-2753349
Virtus Westchester Event-Driven Fund - Class A	95737C509	46-7003737
Virtus Westchester Event-Driven Fund - Class I	95737C608	46-7003737
Virtus Zevenbergen Innovative Growth Stock Fund - Class R6	92837X640	20-0466196
Virtus Zevenbergen Innovative Growth Stock Fund - Class A	92837F318	20-0466196
Virtus Zevenbergen Innovative Growth Stock Fund - Class I	92837F292	20-0466196
Virtus Zevenbergen Technology Fund - Class A	92837Q801	94-3233452
Virtus Zevenbergen Technology Fund - Class Admin	92837Q835	94-3233452
Virtus Zevenbergen Technology Fund - Class C	92837Q884	94-3233452
Virtus Zevenbergen Technology Fund - Class Inst	92837Q843	94-3233452
Virtus Zevenbergen Technology Fund - Class P	92837Q850	94-3233452