Fi360

INFORMATION NEEDED TO RUN A FEE BENCHMARKER® REPORT

We are pleased to offer you an advisor fee and service benchmarking report prepared by Fi360. This report benchmarks your 401(k) plan advisory fees and services to an independent database of retirement specialist advisors' fee and services.

To receive your report, complete this form and return to your Retirement Plan Counselor.

Your information

Name________________________ Member firm name_______________________________

Email ____

Address ____

Securities disclosure ____

Plan information

Plan Name: ____

Plan Address: ____

Plan Asset size (In Millions) _________________________

Number of Plan Participants ____________________________

Annual advisory fee (In dollars, basis points or both) _________________________

Advisor information (to compare yourself to others)

How will the advisor be paid? (Select one)

☐ Basis Points ☐ Flat Fee ☐ Combination of both

Source of payment? (Select one)

☐ Participants ☐ Direct Revenue Sharing ☐ ERISA Budget Account ☐ Plan Sponsor

Report Settings

Fee Display Type on Report (Select one)

☐ Just Basis Points ☐ Both Basis Points & Dollar Values ☐ Just Dollar Values

Advisor Commentary (optional if you want it to appear of the report)

Investments are not FDIC-insured, nor are they deposits of or guaranteed by a bank or any other entity, so they may lose value.

For financial professionals only. For field-testing purposes only.

SCOPE OF SERVICES

SERVICE	INCLUDED IN RETAINER	CHARGED SEPARATELY
Administrative Fiduciary - ERISA section 3(16)	☐	☐
Co-Fiduciary - ERISA section 3(21)	☐	☐
Discretionary Fiduciary - 3(38) for Custom Plan Models	☐	☐
Discretionary Fiduciary 3(38) for Investment Lineup	☐	☐
Investment Fiduciary to Plan Participants	☐	☐
Fund Menu Design	☐	☐
Investment Policy Statement Development	☐	☐
Plan Design Consulting	☐	☐
Financial Wellness External Provider Search	☐	☐
Financial Wellness Program - Advisor Delivered	☐	☐
Financial Wellness Program Strategy	☐	☐
Dedicated 1-800 Number for Participants	☐	☐
Education Program Strategy	☐	☐
Fiduciary Training for Committee	☐	☐
Group Employee Meetings	☐	☐
One-On-One Employee Meetings	☐	☐
Other Specialized Committee Training	☐	☐
Participant Support in Multiple Languages	☐	☐
Vendor Fee/Service Review or Benchmarking	☐	☐
Vendor Management/Issue Resolution	☐	☐
Vendor Search	☐	☐
Vendor Transition Support	☐	☐
Compliance Oversight	☐	☐
Employee Stock Ownership Plan (ESOP) Consulting	☐	☐
HSA Consulting	☐	☐
M&A Services	☐	☐