



Virtus Mutual Funds
 PO Box 534470
 Pittsburgh, PA 15253-4470

UGMA/UTMA
 Account Transfer Form

For assistance, please contact us at 800-243-1574 or visit our website at Virtus.com

Important Information

This form can only be used to transfer assets from an account registered under the Uniform Gifts to Minors Act (UGMA) or the Uniform Transfers to Minors Act (UTMA) to an individual account in the name of the former minor beneficiary once the former minor has reached the age of majority for the state in which the account is registered. If a different registration type is desired, or if a redemption is being requested, please complete our Non-Retirement Account Transfer/Redemption Form, which can be obtained by calling a representative at the number above, or downloaded from our website, Virtus.com.

1. Existing Account Information

Custodian and Former Minor's Names	Former Minor's U.S. Social Security Number
Address	Former Minor's Date of Birth
City, State, ZIP Code	Account Number(s)*

* All funds associated with the account number will be processed.

2. New Account Information – Must be completed in full for all requests.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

- **Cost Basis Reporting:** Virtus Mutual Funds has elected Average Cost as our default method. We will apply our default method Average Cost to all of the new funds and accounts, unless a **Cost Basis Election Form**, which is available on our website www.Virtus.com, is submitted with this form. Due to the tax and financial consequences associated with this election, we recommend that you review the information available on the IRS website, www.irs.gov and/or consult a tax or financial professional for guidance.
- **Options:** The dividend and capital gain options will automatically be reinvested and the Telephone Redemption/Exchange Option will automatically be added for the new owner and, if applicable, the financial professional, unless the new owner notifies us otherwise. If the current account has the eDelivery option, and the new account will have the same primary Social Security or tax identification number as the current account, the eDelivery option will be carried over to the new account. **No additional options will be maintained on the new account.** If any options are to be established, the owner of the new account will need to complete the appropriate form or contact us.
- **Investment Selection:** Unless otherwise specified, we will maintain the current investment selection. If the owner would like change the investment selection, please attach a brief note providing the additional investment selections and percentages. Please keep in mind that the new investment(s) must be in the same share class as the current account. **A change of investment selection, which is an exchange of shares, may be considered a taxable and reportable event. Please contact your tax or financial professional with any questions.**

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may check the information you provide against publicly available databases, information obtained from consumer reporting agencies, other financial institutions or other services. If reasonable efforts to verify your identity have failed, we reserve the right to reject this form. **Bolded fields must be completed and will be verified. If bolded fields are not completed, this form will not be accepted.**

Step 1 – Name and Mailing Address

Name of Taxable Individual (Former Minor)		Social Security Number	Date of Birth
If the mailing address is a post office box, a street address is also required. APO and FPO addresses will be accepted.			
Email Address		Daytime Phone Number	
Street Address	City	State	ZIP Code
Mailing Address (if different from above)	City	State	ZIP Code

Step 2 – Documentary Evidence

Documentary evidence showing the minor has reached the age of majority for the state in which the account is registered must be submitted. Please check off and include a clear copy of one of the following with this form:

- Copy of Birth Certificate
- Copy of Driver's License
- Copy of Social Security Benefit Statement; or
- Copy of Passport or other Government ID

3. Dealer (Financial Professional) Information

Maintain existing information Do not maintain broker/dealer information Update broker/dealer information to:

Dealer Name _____	Dealer Number _____	
Branch Address _____	Branch Number _____	
Registered Representative Name _____	Phone Number _____	Representative Number _____

If no election is made above or you elect not to maintain your current broker/dealer information, VP Distributors, LLC, Virtus's default broker/dealer will be assigned to the new account.

4. Authorizing Signature, New Account Acceptance and Certification

This section must be signed by the owner of the new account (the former minor).

I hereby authorize Virtus Fund Services, or its duly authorized agents, as agent for Virtus Mutual Funds, to honor any requests made in accordance with the terms of this form, and I further affirm that neither Virtus Fund Services ("Transfer Agent") nor Virtus Mutual Funds shall be held liable for any loss, liability, cost or expense for acting in accordance with this form, or any section thereof. I authorize Virtus Mutual Funds and its agents to act upon instructions (by phone, in writing, on-line or by other means) believed to be genuine and in accordance with the procedures described in the prospectus for this account or any account into which exchanges are made. I agree that neither Virtus Mutual Funds nor the Transfer Agent will be liable for any loss, cost or expense for acting on such instructions, provided that the Transfer Agent employs reasonable procedures to confirm that instructions communicated are genuine. I understand that I bear the risk from instructions given by an unauthorized third party that the Transfer Agent reasonably believes to be genuine. I certify that I have full right, power, authority and legal capacity to receive and purchase shares and affirm that I have read and understand the prospectus and agree to its terms. I agree to obtain and read the prospectus for any Virtus Mutual Fund(s) into which I may request an exchange in the future. By signing below, I acknowledge and agree that any financial professional assigned to my/our account(s) (including any new account(s) established under the same primary Social Security Number or Tax Identification Number) will be for the period assigned to such account(s) authorized to act on my behalf with respect to my/our account(s) in all respects, including without limitation initiating contact specifically for the purposes of unclaimed property laws.

In addition to the above statements, my signature below indicates that I have read the Fund prospectus(es) and this form and agree to the terms stated. In addition, by signing below, I agree to receive the Fund Privacy Notice within a reasonable time after I purchase Fund shares.

Texas State Residents may designate a "representative for notice" for escheatment by submitting the completed designation form from the state of Texas Comptroller's website. The designee has no rights to claim or access the shareholder's account or assets. The completed form may be mailed to one of the addresses listed on the first page.

EU Residents or Citizens Only: By checking the box at the end of this sentence, I acknowledge that I have received, read and accepted the terms of the Privacy Policy applicable to shareholders who are residents or citizens of the European Union, which is available on Virtus.com.

Substitute Form W-9 - Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number; and
2. I am not subject to backup withholding because:
 - a. I am exempt from backup withholding, or
 - b. I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or
 - c. the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. person (including a U.S. resident alien); and (4) The FATCA code(s) entered on this form _____ (if any) indicating that I am exempt from FATCA reporting is correct. Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

If I am a nonresident alien, I am required to complete the appropriate Form W-8 to certify my foreign status. I understand that I am not under penalties of perjury certifying the above information. **NOTE REGARDING FORM W-9: The IRS does not require your consent to any provision on this application other than the certification required to avoid backup withholding.**

I authorize Virtus Mutual Funds, their agents and affiliates to transfer the shares to a new account, as outlined in Section 2 on the previous page. I acknowledge that by signing below, For the purpose of inducing Virtus Mutual Funds, their agents and affiliates to act upon my/our instructions, I agree to fully indemnify and hold harmless Virtus Mutual Funds, their agents including Virtus Fund Services and BNY Mellon Investment Servicing (US) Inc., and the affiliates, officers, directors, employees, successors and assigns of each, from and against any and all losses, liabilities, claims and costs of whatever kind (including reasonable attorneys' fees) resulting from or caused by transactions made in accordance with these instructions.

Print Name _____	Signature _____	Date _____
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